

CALIFORNIA POLYTECHNIC STATE UNIVERSITY
San Luis Obispo, California 93407
ACADEMIC SENATE

Academic Senate Executive Committee Agenda
Tuesday, September 30, 1986
FOB 24B, 3:00-5:00 p.m.

<u>MEMBER:</u>	<u>DEPT:</u>	<u>MEMBER:</u>	<u>DEPT:</u>
Botwin, Michael	ArchEngr	Kersten, Timothy	Economics
Cooper, Alan	BioSci	Lamouria, Lloyd H.	AgEngr
Crabb, Charles	CropSci	Riener, Kenneth	BusAdm
Currier, Susan	English	Terry, Raymond	Math
Forgeng, William	MetalSci	Weatherby, Joseph	PoliSci
Gamble, Lynne	Library	Wheeler, Marylinda	P.E./RecAdm
Gooden, Reg	PoliSci	Wilson, Malcolm	Interim VPAA
Nancy Jorgensen	Cslg/Tstg	Copies: Baker, Warren J. Irvin, Glenn W.	

9.16.86
minutes
removed

- I. Minutes: Approval of the September 16, 1986 Senate Minutes (attached pp. 2-9).
- II. Communications:
All future Executive Committee meetings will be held in UU220.
- III. Reports:
 - A. President/Academic Affairs Office
 - B. Statewide Senators
- IV. Consent Agenda:
Harvey Greenwald, Budget Committee, recommends that his 1986-88 term be changed to 1986-87 in order to balance membership rotation.
- V. Business Items:
 - A. Resolution on Opposition to Proposition 61 (The Gann Pay Initiative) - Weatherby (attached p. 10)
 - B. Committee/Senate Appointments (vacancy list attached as p. 11)
 - C. Appointment of Academic Senate's Part-Time Representative
- VI. Discussion Items:
Proposed New Budgetary Process: Budget Committee would like the input and feedback of the Executive Committee. This is a major proposal. The President's new subcommittee does not have a say-so in the distribution and allocation of funds, they only review the administrative decision - Conway and Greenwald (attached pp. 12-16)
- VII. Adjournment:

Adopted: _____

ACADEMIC SENATE
OF
CALIFORNIA POLYTECHNIC STATE UNIVERSITY
San Luis Obispo, California

Background Statement:

Proposition 61 has been included on the November 4, 1986 General Election Ballot. If passed, this proposition would place a limit on the amount of money that public employees can earn. Furthermore, Proposition 61 would prohibit all public employees from accumulating sick leave and vacation time from one calendar year to another.

AS-____-86/____

RESOLUTION ON
OPPOSITION TO PROPOSITION 61
(THE GANN PAY INITIATIVE)

- WHEREAS, The Compensation of Public Officials, Employees, Individual Public Contractors: Initiative Constitutional Amendment and Statute will be on the November 4, 1986 General Election Ballot; and
- WHEREAS, The provisions of the initiative would have a profound negative effect upon The California State University; and
- WHEREAS, The provisions of the initiative would arbitrarily impose conditions affecting salaries that will reduce the capacity of The California State University to recruit and retain qualified faculty and staff;
- WHEREAS, The provisions of the initiative would prohibit the accrual of sick leave from year to year creating an unfair hardship on all public employees to the eventual detriment of The California State University; therefore, be it
- RESOLVED: That the Academic Senate of California Polytechnic State University express its strong opposition to the Compensation of Public Officials, Employees, Individual Public Contractors: Initiative Constitutional Amendment and Statute (Gann Initiative); and be it further
- RESOLVED: That this position be conveyed by the Chair of the Academic Senate to all interested parties.

Proposed By:
Joseph Weatherby
September 30, 1986

COMMITTEE/SENATE VACANCIES

Senate Vacancies (appointed term 1986-87)

SENG	1 replacement for Doug Rosener
SSM	2 vacancies
SSM	1 replacement for Richard Saenz
ASI	2 representatives (ex officio, nonvoting)
Part-Time Faculty	1 representative (ex officio, nonvoting)

Academic Senate Standing Committees

Budget	ASI
Constitution & Bylaws	SPSE ASI
Curriculum	ASI
Disting Teachg Awards	ASI (2 members)
Fairness Board	ASI (2 members)
GE&B	ASI
Instruction	ASI
Library	SSM ASI
Long-Range Planning	ASI
Personnel Policies	ASI
Research	SLA (Need replacement for Pamela Cook Miller) ASI
Status of Women	SPSE ASI Representative from Part-Time Faculty
Student Affairs	SAGR (Need replacement for Marvin Whalls) SSM ASI
UPLC	SBUS - Election in progress

Background

In a memorandum dated December 13, 1984, the Chancellor transmitted the Board of Trustees policy that committees which include faculty and students should exist to advise the President on budget policy, planning, and resource allocation. This policy involved the total budget of the campus as well as the resource allocations of all programs.

The current resource allocation process at Cal Poly does not permit faculty and student input into the budgetary process prior to the approval of the University budget. This current process is neither an open nor a formal process. Furthermore, program evaluations as well as long range planning should be an integral part of this resource allocation process.

Resolution on the Budget Process

Whereas, The resource allocation process should be an open and formal process, and

Whereas, The faculty, staff, and students of the University should be permitted input into the budgetary process prior to the approval of the University budget, therefore be it

Resolved: That an Allocation Committee shall be established and shall be charged with the recommendation of a University budget, and further

Resolved: That a committee of the Allocation Committee called the Budget Development Committee shall be established and charged with preparing a University budget for consideration by the Allocation Committee, and further

Resolved: That the following approximate interim timetable be established:

Approximate Interim Timetable

OCTOBER: Program centers submit short-term and long-range priorities to the Allocation Committee.

NOVEMBER: Hearings held for selected program centers. Either the program center or the Allocation Committee may request a hearing.

DECEMBER: All program centers submit resource requests to the Allocation Committee.

DECEMBER/
JANUARY: Allocations of faculty positions made to the schools excluding enrichment, new programs, research and development, and a reserve.

FEBRUARY/
MARCH: Budget Development Committee prepares budget.

APRIL/MAY: Allocation Committee recommends budget to the President.

MAY/JUNE: President reviews budget.

JULY: President issues budget.

and further

Resolved: That during the first year of the phasing in of the process that:

- 1) The following members shall serve on the initial Allocation Committee.

Chair or designated member of the Academic Senate Budget Committee

Chair or designated member of the Academic Senate Long Range

Planning Committee

Chair or designated member of the Academic Senate Curriculum Committee

Chair of the Academic Senate or designated member of the Academic Senate
Executive Committee

Chair or designated member of the Program Evaluation Committee (if approved)

Two members of the Faculty chosen by the Academic Senate

Vice President for Academic Affairs

One member of the Deans' Council

Vice President for Business Affairs

Executive Dean

Dean of Students

Vice Provost for Academic Programs

Associate Provost for Information Systems

Vice President for University Relations

Director of Personnel and Employee Relations

ASI President or Designees

Controller of the ASI

- 2) The Allocation Committee and the President shall establish the Budget Development Committee.
- 3) The Allocation Committee shall determine policies and procedures for implementing this budgetary process.
- 4) These policies and procedures shall be subject to approval by the Academic Senate.
- 5) These policies and procedures shall be subject to approval by the President.
- 6) As much of the above approximate interim timetable be used as is practical and possible.

Proposed by:

James Conway and Harvey Greenwald

May 13, 1986

Resolution on Program Evaluation

Whereas, Program evaluations should be an integral part of University planning and resource allocation, and

Whereas, There is currently no formal program evaluation process, therefore be it

Resolved: That a committee be formed to establish policies and procedures for implementing program evaluations for all units of the University, and further

Resolved: That these policies and procedures be subject to approval by the Academic Senate, and further

Resolved: That these policies and procedures be subject to approval by the President, and further

Resolved: That these program evaluations be made available to the Allocation Committee and other committees as necessary.

Proposed by:
James Conway and Harvey Greenwald
May 13, 1986

Resolution on Long Range Planning

Whereas, Long range planning is an integral part of University planning and resource allocation, and

Whereas, There is currently no formal unified campus long range planning, therefore be it

Resolved: That a committee be charged to establish policies and procedures for implementing long range planning for all units of the University, and further

Resolved: That these policies and procedures be subject to approval by the Academic Senate, and further

Resolved: That these policies and procedures be subject to approval by the President, and further

Resolved: That any reports concerning long range planning shall be made available to the Allocation Committee and other committees as necessary.

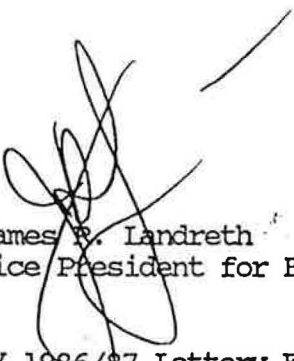
Proposed by:
James Conway and Harvey Greenwald
May 13, 1986

M E M O R A N D U M

TO: President's Council Members

DATE: September 26, 1986

FILE NO:

COPIES: R. Ramirez
F. Lebens
L. Howard
FROM: James R. Landreth
Vice President for Business Affairs

SUBJECT: FY 1986/87 Lottery Education Fund - Status Report

On July 9, 1986, the CSU Board of Trustees approved the 1986/87 Lottery Revenue budget after lengthy systemwide consultation. The CSU Long Range Financial Planning Committee, the System's Budget Advisory Committee, and the Executive Council were instrumental in developing the budget process and the actual 1986/87 budget that the Trustees approved. In a July 2, 1986 memorandum, I transmitted copies of the 38 page Chancellor's Office publication titled "The California State University Lottery Revenue Budget" (printed with a blue cover). This document provides the definitions and principles that serve as the foundation for the processes and allocation methodologies adopted by the Board of Trustees.

Categories of Expenditure

The approved 1986/87 budget includes six broad categories of expenditures as summarized below:

Continuing Commitments	\$ 7,072,395
New Program Authorizations	15,462,600
Discretionary Allocation	3,865,650
Administration	1,500,000
Reserve for Cash Flow	2,000,000
Endowment Allocation	6,442,750
Total	<u>\$36,343,395</u>

Additional budgetary detail for the items included within each expenditure category is provided on the attached financial spreadsheet. All lottery expenditures are subject to approval by the Chancellor and/or the Board of Trustees. The primary difference is that the categories for Continuing Commitments and New Program Authorizations will be expended after proposals developed systemwide while the expenditure of Discretionary Allocations and Endowment Allocations will be based on proposals generated by the individual CSU campuses.

The category entitled Continuing Commitments includes programs and projects that were supported from the 1985/86 Lottery Fund and are being funded for continuation into

1986/87. The one exception to this is the allocation for Instructional Equipment Replacement which is being allocated from the 1986/87 General Fund Support budget. As stated on page 20 of the document titled The CSU Lottery Revenue Budget: "The intent is to minimize and eventually eliminate this category of allocations in order to maximize annual discretion." The intent in the case of Instructional Equipment Replacement, the Educational Computing Consortium and Experimental Clinical Supervision, is to obtain full state funding in 1987/88. In the case of the continuing item for Instructional Computing the plan is to permit completion of a five year lease purchase three years earlier to achieve economies and to avoid a 1987/88 and 1988/89 budget problem.

Status of Allocations, Authorizations and Cash Flow

In the Chancellor's Office Budget Planning & Administration Letter BP 86-60, we were advised of the status of Lottery allocations and the uncertainties concerning cash flow as they affect the CSU and fiscal management at the campus level. The attached financial spreadsheet shows that Cal Poly will receive authorization to expend \$1,575,330 plus some amount from the pending authorizations. However, we have only received budget allocations of \$404,005. As a consequence, the Business Affairs staff will be working with project/program coordinators to insure that expenditures do not exceed the level of cash available in Cal Poly's Lottery fund account. The Chancellor's Office will issue allocation orders to the campuses as cash is remitted to the CSU by the State Treasurer.

The notation "n/a" on the attached financial spreadsheet indicates that Cal Poly is not scheduled to receive an allocation from this category or item during 1986/87 either because the item is administered centrally by the Chancellor's Office (e.g., Local Timesharing) or because Cal Poly will not participate in that particular program/project (e.g., Educational Computing Consortium and Instructional Television Network).

Campus Consultative Process

Vice President for Academic Affairs, Malcolm Wilson, has advised me that he is currently preparing a transmittal to the Instructional Deans and the Vice President for Information Systems in order to initiate the campus process for the distribution of the following three allocations: 1) Non-Formula Instructional Equipment (\$150,697), 2) Distinguished Visiting Professors, Lecturers and Artists (\$165,431), and 3) Discretionary Allocations (\$216,580). It is proposed that Endowment Allocations (earnings on a principal of \$364,878) not be allocated in 1986/87. The intent is to forward campus proposals for the first three items to the President's Council Advisory Subcommittee on Budgets and Resource Allocations. The subcommittee would then make recommendations to the President for his review and action. Expenditure proposals for the Discretionary and Endowment allocations require a request from the President and the approval of the Chancellor.

In BP 86-60, the campuses were advised that a Request for Allocation Order, an expenditure plan, and a letter from the President to Louis V. Messner, Assistant Vice Chancellor for Budget Planning & Administration, is required by October 31, 1986 for all proposals to be funded from the 1986/87 Discretionary Allocations. I assume that the Advisory Subcommittee on Budgets and Resource Allocations would give this expenditure category the highest priority in order to meet the Chancellor's Office deadline. It is also necessary to act expeditiously on the other categories in order to implement expenditure programs before these allocations expire on June 30, 1987. All unencumbered campus balances as of June 30, 1987 will revert to Systemwide Lottery accounts for allocation in FY 1987/88.

Cal Poly's \$407,940 allocation for Student Access to Instructional Computing will not be referred to the Advisory Subcommittee for approval since the intent as stated in IS

86-27 and BP 86-60, is that these funds will be used for the purchase of student access workstations as described in the Final Computing Support Formula Design Report and our campus Information Resource Plan (CIRP). Cal Poly is scheduled to procure at least 78 student workstations from this allocation. Likewise, the Instructional Computing Access allocation to Cal Poly of \$14,512 will not require consideration by the Advisory Subcommittee as these funds have been earmarked to cover the lease and maintenance costs of Cyber computing equipment acquired in 1985/86.

Cal Poly's 1986/87 Lottery Allocations that originated with the 1985/86 Teacher Education Program Change Proposal include Master Teacher Stipends (\$20,925), Master Teacher Scholarships (\$15,945), and the grant for Experimental Clinical Supervision (\$176,630). These continuing commitments are for expenditures prescribed by the Chancellor's Office and the Board of Trustees and will not require consideration by the Advisory Subcommittee.

Please call Rick Ramirez or me should you have questions concerning this status report or if you would like additional information regarding Cal Poly's allocations.

California Polytechnic State University-San Luis Obispo 09/25/86 RMR
 FY 1986/87 CSU LOTTERY EDUCATION FUND
 CAL POLY AND CSU ALLOCATIONS AND AUTHORIZATIONS (BP 86-40)
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Expenditure Category	Cal Poly	C S U
=====		
CONTINUING COMMITMENTS:		
Master Teacher Stipends	\$20,925	\$675,000
Master Teacher Scholarships	\$15,945	\$513,000
Experimental Clinical Supervision	\$170,000	\$801,000
Instructional Computing Access	\$14,512	\$918,243
Instruct'l Computing-Local Time Sharing*	n/a	\$4,055,152
Educational Computing Consortium	n/a	\$110,000
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Totals, Continuing Commitments	\$221,382	\$7,072,395
PROGRAM/PROJECT AUTHORIZATIONS:		
Non-Formula Instructional Equipment**	\$150,697	\$2,500,000
Student Access To Instruct'l Computing	\$407,940	\$5,890,000
Distinguished Visiting Professors, Lecturers, Artists**	\$165,431	\$2,500,000
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Initial Authorizations	\$724,068	\$10,890,000
Instructional Development & Technology	\$0	\$1,000,000
Minority/Female Graduate Incentive Prog	\$0	\$500,000
Student Internships & Community Service	\$0	\$1,000,000
Fine Arts Initiative	\$0	\$1,000,000
Educational Equity	\$0	\$500,000
Instructional Television Network	n/a	\$572,600
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Pending Authorizations	\$0	\$4,572,600
Totals, New Programs/Projects	\$724,068	\$15,462,600
DISCRETIONARY ALLOCATIONS:**	\$216,580	\$3,865,650
ENDOWMENT PRINCIPAL FOR INVESTMENT:**	\$364,878	\$6,442,750
RESERVE FOR CASH FLOW:	n/a	\$2,000,000
ADMINISTRATIVE ALLOWANCE:		
Campuses	\$48,422	\$717,925
Chancellor's Office	n/a	\$20,297
CSU Controller	n/a	\$27,524
Systemwide Unallocated	n/a	\$734,254
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Totals, Administrative Allowance	\$48,422	\$1,500,000
TOTALS, LOTTERY EDUCATION FUND	\$1,575,330	\$36,343,395

* Local Timeshare/PRIME computers purchase and maintenance costs: these funds are administered by the Chancellor's Office; campus allocations will not be made.

** Cal Poly proposals are subject to review and recommendation by the President's Council Advisory Subcommittee on Budgets and Resource Allocations.