

Effects of Optical Brightening Agents on Color Reproduction in Digital Printing

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by

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## Table of Contents

Abstract.....	4
Chapter 1 – Introduction.....	5
Chapter 2 - Literature Review .....	7
Chapter 3 – Methodology .....	17
Chapter 4 – Results.....	20
Chapter 5 – Conclusion .....	28
References.....	31

**List of Figures and Tables**

Figure 2.19

Figure 3.1 .....17

Table 4.1 .....20

Table 4.2 .....21

Table 4.3 .....22

Figure 4.1 .....23

Figure 4.2 .....23

Figure 4.3 .....24

Figure 4.4 .....24

Figure 4.5 .....24

Figure 4.6 .....24

Figure 4.7 .....25

Figure 4.8 .....25

Figure 4.9 .....26

Figure 4.10 .....26

Figure 4.11 .....26

Figure 4.12 .....26

Figure 4.13 .....27

Figure 4.14 .....27

## **Abstract**

In this study the effects of optical brightening agents (OBAs) on color reproduction in digital toner-based printing are explored. Through the comparison of two distinct substrates with different levels of OBAs, notable differences in the reflectance of blue light in the visible light spectrum are analyzed among light sources Illuminant A, D50, and D65. Fluorescence occurs in OBA paper under light with a UV component; between D65 and Illuminant A light sources there is a distinct difference in fluorescing effect of the sample substrates. Color discrepancy as a result of OBAs is analyzed between D50 and Illuminant A light sources, which are common to pressroom viewing conditions and customer environments. For toner-based digital printing, greater difference in color occurs between light sources when there is a lower percent coverage of ink on the paper. Though most color discrepancy is notable, color-matching issues in the digital environment as a result of OBAs in paper may not be a chief concern unless a press is not calibrated or if substrates are drastically different in OBA levels.

## Chapter 1 – Introduction

Optical brightening agents (OBAs), or fluorescent whitening agents (FWAs), are chemicals added to papers, plastics, and other materials to increase the blue light reflectance. The increased reflectance of blue light increases the perceived whiteness of the material. “A ‘bluer’ white is perceived as ‘cleaner’, but a white material that has aged or become dirty appears to be yellow and is less acceptable to the eye. As a result, OBAs are commonly added to white fabrics and other white materials to make them appear ‘bluer’ or ‘cleaner’” (Datacolor, 2012).

Until recently, the impact of OBAs in paper on printed image color has not been well understood or accurately measured. Spectrodensitometers traditionally use an incandescent illuminant, a light source that does not contain a UV component, to measure the differences in color of a printed product. As a result, no UV light reflectance occurs, and the effects of OBAs on perceived color remain unknown (Keif, 2012). This creates a problem when using the measurement to match a defined color standard or when matching color across different substrates. Measurements taken using a standard spectrodensitometer may indicate a match between the printed piece’s color values and a defined standard or proof, but when viewed with the human eye under UV component lighting, they may appear to not match at all.

In 2011, Konica Minolta introduced a spectrodensitometer featuring a UV light component that enables measurements accounting for the influence of OBAs. The Konica Minolta FD-7 spectrodensitometer accurately evaluates color, including the effect of OBAs, because it illuminates the sample using an LED illuminant that replicates D50 lighting conditions, which is the standard for light booths used for color matching in the pressroom. Konica Minolta claims it is the first instrument capable of providing measurement results under this standard light source, which corresponds to ISO 13655 Measurement Condition M1 (Konica

Minolta, 2012). The D50 illuminant includes a UV light component, which excites the OBAs and causes them to reflect light in the blue spectrum, and therefore the result is captured in the spectral reflectance curve. The FD-7 is capable of taking multiple measurements with different illuminants and recording the different reflectance values in a table. These values can be transferred into Excel to generate a graph for visual comparison of different reflectance values of the paper under different illuminants.

The purpose of this study is to compare the affects of OBAs on the perceived and numerical differences in paper brightness, and to highlight the need for more accurate color management across different substrates due to OBAs. Using the Konica Minolta FD-7 for numerical analysis, this study examined the effect OBAs have on perceived brightness and brightness measured under ISO 13655 Measurement Condition M1 standards with a UV illuminant. Graphical analysis reflected measured data to show the different reflection spectra under different illuminants due to OBAs.

## Chapter 2 – Literature Review

### *Optical Brightening Agents*

Optical brightening agents (OBAs) are chemicals added to paper during the papermaking process to increase the brightness of paper. Brightness is “the percentage reflectance of blue light only at a wavelength of 457 nm” (Goyal, 2000). OBAs, also known as fluorescent whitening agents (FWAs) when used in textiles, increase an object’s reflectance of blue light under ultraviolet (UV) light sources, such as daylight and D65 lighting. OBAs were first added to paper to make it seem brighter and cleaner, increasing the value to the customer. Color appears more vivid on paper containing OBAs, making OBA paper more desirable in terms of image quality. “Optical brightening agents...enhance appearance under certain lighting conditions. They trick the eye into thinking the medium is brighter and whiter than it really is by shifting invisible ultraviolet light found in daylight and many light sources into visible blue light. In the case of paper, the blue light masks the natural yellow color of paper only as long as the UV-containing light source shines on the paper or print. Observers then perceive this blueness as whiteness (Wales, 2008).

OBAs at some level are added to most printing papers today, including offset, digital, and home copier varieties of paper. Varying levels of OBAs across different papers may result in a problem: while the perceived color in sunlight or D65 lighting reflects more blue with increased OBAs, white papers with different levels of OBAs will be perceived differently and will read differently under a standard spectrodensitometer. “Today’s instruments and light booths attempt to simulate a reference lighting condition, such as D50, but do not duplicate the prescribed UV component. This is not critical unless the paper contains OBAs. When the paper contains OBAs the measurement of printed color is unpredictable, particularly in the highlight and mid-tones;

and matching proof and press is problematic” (Wales, 2008). If the paper is not comparatively measured in D65 lighting, or simulated sunlight, the customer may disagree with the color match despite signing off on the press proof.

### *Fluorescence versus Phosphorescence*

OBA's affect the brightness of the paper by increasing the amount of fluorescence the paper has. Fluorescence is the conversion of non-visible light into visible light. A common way to view fluorescence is under a black light. Clothing dyes, usually whites, often have FWAs in them, making them distinctly “glow” under a black light. OBAs absorb UV light and re-emit it as visible blue light, making the paper appear more blue and bright under a light featuring a UV component (Goyal, 2000). Often, fluorescence is confused with phosphorescence. Commonly seen in glow-in-dark items, phosphorescence stores light and then releases it gradually as the electrons relax back to a ground state from their excited state when interacting with the light source previously (Weiss, 2001). Phosphorescence can be seen without a black light in a dark room. Eventually, phosphorescence will stop emitting light after prolonged removal from a light source and the electrons have returned to their ground state.

### *Spectrodensitometry*

Spectrodensitometers measure the spectral distribution of light and use the data to display densitometric information that allows for easier and more accurate calculation of density and colorimetric readings among other things (Myers, 2009). “There are several advantages to calculating densitometric information from spectral data, including the ability to calculate reflection density and any status” (Myers, 2009). Similar to a spectrodensitometer,



spectrophotometers measure the visible spectrum of light; however they are limited in the depth of their readings and math capabilities compared to spectrodensitometers (Myers, 2009).

Light itself is measured in a spectrum beyond visible light; it belongs to a larger spectrum of electromagnetic waves. The visible range of light in the electromagnetic spectrum is from about 390 to 780 nanometers, with violet being on the low end of the visible spectrum and red on the high end (The Physics Classroom, 2012). All visible light falls into the color range of ROYGBIV (red, orange, yellow, green, blue, indigo, and violet) with “white” light being a combination of all the wavelengths or colors and black being the absence of visible light. Invisible light and other electromagnetic waves fall on either side of the visible spectrum range, from gamma rays on the low end of the spectrum to radio waves on the high end of the spectrum. Figure 1 illustrates the electromagnetic spectrum and the ranges of visible light and other electromagnetic waves.

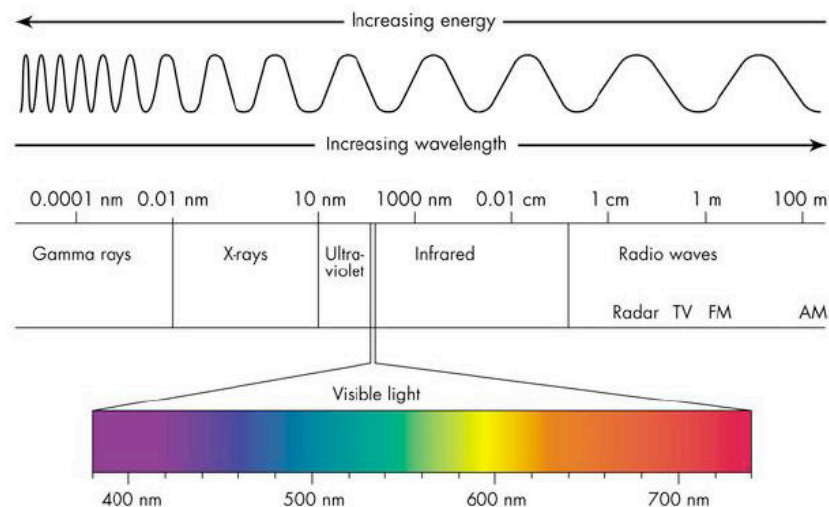


Figure 2.1 – The Electromagnetic Spectrum (Irvine, 2011)

By adding OBAs into paper, invisible UV light is converted into the blue range of visible light, increasing the perceived optical brightness of the paper. When measured under a UV light source, OBAs cause light that is absorbed in the 300- to 400-nanometer range to be reflected

back as blue light at a wavelength of up to 460 nanometers (Gill, 2011). Standard spectrodensitometers are UV-cut, meaning they take measurements without accounting for the reflectance of UV light into the visible spectrum; this results in measurements that differ when taken under UV and non-UV lighting conditions. Newer spectrodensitometers, such as the Konica Minolta FD-7 used in this study, offer measurement illuminants that mimic spectral illuminant standards, such as D50 and D65. They allow more accurate color measurements for different light sources, reducing metamerism color matching frustrations. “Because the amount of OBA fluorescence is directly related to the amount of energy absorbed by the fluorescent molecule, a UV-enhanced spectrophotometer...must have a light source that emits the right amount of ultra-violet light—typical of normal daylight, which standard bodies have agreed to be CIE Illuminant D65” (Datacolor, 2012). This new technology helps combat metamerism as well, keeping color in mind with the different light reflectance measurements.

### *Metamerism*

Metamerism is the effect light has on the perception of color. A good example of metamerism is commonly found in clothing shopping: when a shirt appears to be one color in the department store, and another outside the store in daylight after purchase. The lighting in the department store is different from the natural outdoor light. Metamerism causes the object to look one color under one type of lighting but under a different light it appears to be a different color, or when two colors appear the same under one light source and different under another light source. In the case of the shirt, the use of OBAs or FWAs in the clothing dye may have impacted the metamerism that resulted. Depending on what light sources are being used, metamerism is more likely to occur with objects with OBAs in them because they reflect UV

light. Until recently, only ink metamerism was a primary concern in image reproduction. Recent research of OBAs has shown that they also contribute to metamerism.

When using two different light sources, one with a UV component such as daylight and the other without, illuminant metamerism is because of the increased blue light under one light source versus the other, especially when fluorescing occurs. “Illuminant metamerism is witnessed when there are a number of spectrally matched—exactly the same—samples, but when each is independently yet simultaneously illuminated and viewed under lights whose spectral power distributions differ, significant variations of the color can be perceived” (“What Is Metamerism?,” 2012). New advances in color management technologies, such as X-Rite’s i1Profiler, help reduce the impact of metamerism for print and color matching. “i1Profiler also includes X-Rite’s groundbreaking Optical Brightener Correction technology (OBC)...[which] can effectively and precisely compensate for color shifts in International Color Consortium (ICC) output profiles typically caused by optical brightening agents (OBAs) in papers and other printing substrates. This results in prints with an improved visual match and reduces undesirable colorcasts caused by the brightening agents” (“X-Rite,” 2010). Recent spectrodensitometer technologies help with color matching customer specifications and viewing conditions so that metamerism is addressed.

### *CIE Standard Illuminants*

The Commission Internationale de L’éclairage (CIE), or International Commission of Illumination, has established different standard lighting conditions, used to define and compare

environments in which to make different measurements. CIE standard illuminant D50 is specified as the standard lighting environment for pressroom light booths, under which the most accurate color matches can be made. This standard is “an average of the most extreme lighting, and has the most neutral spectral response of all standard daylight illuminants” (Tappi, 2007). The color temperature of Illuminant D50 is 5000 Kelvin, which is the unit of measurement for color temperature. Its color temperature is otherwise described as “the average office fluorescent light mixed with north sky” (Tappi, 2007). D50 was based on another standard, Illuminant D65, which represents noon daylight, or 6500 Kelvin color temperature. However, most spectrodensitometers on the market today use Illuminant A, at 2856 Kelvin, an incandescent tungsten lamp, to make color measurements. This was considered acceptable until the effects of OBAs in paper were better understood. If one of two prints that match under Illuminant A had more OBAs in the paper, fluorescing would not be seen because the light source does not contain a UV component. Once the prints are viewed in any other environment with a light source containing UV, the substrate with more OBAs would fluoresce and appear bluer. Now that it is understood that the OBAs affect perceived color, this new variable has to be accounted for when measuring to specified color standards. Thus, Illuminant D50 is the preferred standard for measuring and viewing environments.

### *Measurement Conditions*

In 2009, the International Organization for Standardization (ISO) developed standardized measurement conditions for printed material called the M series, which defined standard

illuminants for different situations based on the light sources defined above. The M series of measurement conditions were designed “to minimize measurement variability, and to provide a way to communicate the illumination source used for measurement” (Cheydleur & O’Connor, 2012). The current industry practice for illuminating printed substrates for measurement is M0, though it ignores the effects of OBAs. “M0 is the illuminant source that most closely matches standard Illuminant A, which provides consistency with existing instrumentation” (International Organization for Standardization, 2009).

However, the process of conversion to a matching color in D50 standard is where M0 can go wrong. “Usually, instruments illuminate a sample of the colorant and paper with a known intensity and measure the quantity of light reflected by the sample at each wavelength, then divide by the illuminant intensity, thus measuring the reflectance factor at each wavelength of the sample” (Gill & Melbourne, 2011). This is the Source Independence Model of conversion. This model assumes that if the source is placed in a different illuminant environment, the intensity of the viewing illuminant and the sample reflectivity at each wavelength can simply be multiplied to compute the spectrum of the light emitted by the sample (Gill & Melbourne, 2011). However, the principle behind the OBAs is that they absorb UV light and reflect it back in the blue range of the visible spectrum. “A key assumption of [the Source Independence Model] is that the light that impinges on the sample at a given wavelength is reflected back at exactly the same wavelength at a diminished intensity. Notice also that any sort of fluorescent material breaks this model, since fluorescent materials emit light at a different wavelengths to which they absorb it” (Gill, 2011). This discrepancy when OBAs are involved creates “serious challenges for people trying to measure and manage color consistency in a variety of workflows,” when M0 is the standard (Cheydleur & O’Connor, 2012). Therefore, according to ISO 13655, “M0 is not

recommended for use when measured sheets exhibit fluorescence and there is a need to exchange measurement data between facilities” (Cheydleur & O’Connor, 2012). The only time M0 can provide accurate reflectance values is when neither the substrate nor the colorants fluoresce.

According to the International Color Consortium (ICC) recommendations for color management, “ISO 13655 specifies how color measurements and calculations for use in Graphic Technology are to be conducted, and specifically calls for a D50 illuminant for accurate measurement” (International Color Consortium, 2004). Though light booth standards in the pressroom matched D50, the illuminant in M0 reflectance measurement devices did not. In response to the issues created by the limitations of the M0 standard, the M1 standard was created. “ISO 13655-2009 defined Measurement Condition M1 as having illumination corresponding to CIE Illuminant D50 to minimize differences in measurement results due to paper fluorescence” (Konica Minolta, 2012). M1 condition specifies that the light source must match D50, in order to reduce variation in measurement caused by fluorescence of the substrate. This way, measurements on different substrates will have to match based on the light reflected from both visible and UV incident light. Defining and controlling the UV component of the illumination source is the only way to effectively manage color on OBA-enhanced substrates. “When viewing paper containing fluorescent whitening/brightening agents, the illumination must have a suitable form, must be continuous (energy balanced on all spectral lines), and must contain a sufficient amount of UV radiation to excite the fluorescent agent” in order to meet M1 specifications (Tappi, 2007).

There are two other standards that are used less often, because they only apply to standardized communication of color for specific situations. The M2 standard excludes any UV incident light from the measurement, also referred to as UV filter or UV-cut. For an M2 standard

illuminant, “spectral power distribution of the specimen illumination must be provided in the wavelength range from 420nm to at least 700 nm and have no substantial radiation power in the wavelength range below 400 nm,” the region in which UV light is transmitted (International Organization for Standardization, 2009). M2 is useful when the potential effects of UV light on the substrate are to be purposefully ignored, because “to be able to measure the FWA in the paper, the instrument has to be able to trigger fluorescence, which it cannot do if it is fitted with a UV filter, or uses a light source that emits no UV (e.g. a white LED)” (Gill, 2011). For instance, when matching a proof to a final, the proof paper’s effects are not accounted for because its sole purpose is to provide an accurate example of how the final print will look. If the proof paper does not have the same fluorescence as the final paper, the proof must simulate with ink how the final print will appear. Another uncommon standard, M3, has the same basic sample illumination as M2, but it is used for special cases when surface reflections need to be minimized. M3 includes a “linear polarizer in the influx and efflux portions of the optical path with tier principal axes of polarization in the orthogonal or ‘crossed’ orientation” (International Organization for Standardization, 2009).

### *G7 Print Specification*

G7 is a print specification created by IDEAlliance that focus on visual color consistency across different print processes. A proof-to-print device-independent specification, G7 focuses on achieving a neutral gray for color control through analyzing LAB color values and neutral print density curves (NPDC). This specification provides “both a definition of grayscale...and a calibration method for adjusting any CMYK imaging device to simulate the G7 grayscale definition” (IDEAlliance, 2012). In this study, G7 calibration is used to create a consistent color

profile in order to reduce color balance issues and allow the focus to remain on fluorescence and its effect on reflectance values.

#### *Konica Minolta FD-7*

The instrument used for measurement in this study is the Konica Minolta Fluorescent Spectrodensitometer FD-7. The progressive aspect of this measurement tool is that it “uses technology to enable color evaluation taking into consideration the fluorescence of the paper under Illuminant D50...[and is one of] the first instruments [along with the FD-5] to provide measurement results corresponding to ISO 13655 Measurement Condition M1. In addition, color measurements corresponding to ISO 13655 Measurement Conditions M0 and M2 can also be taken” (Konica Minolta, 2012). The device can be connected to a computer so the software can simultaneously display data from the different measurements in one line graph for easy comparison. The machine can also measure ambient lighting, and then calculate data under that source, so the printed materials can be evaluated appropriately for their environment. Measurement results obtained with the FD-7 more closely match visual evaluation based on the ambient light source, as it takes the effects of OBAs into account. It takes the precision of visual evaluation and makes it measurable.





*Paper Samples*

Two select paper samples were tested. Sample 1 was 100# Gloss Cover Futura Laser paper from New Page with 96 Brightness. Sample 2 was 80# Text Kelly Digital Coated Paper from Kelly Paper with 91 Brightness. The samples' brightness levels were significantly different to emphasize the impact of different OBA levels of the substrates on the difference in reflectance values under different light sources.

*Hypothesis*

While both samples appear bright white, numerical and graphical analysis may reveal significant differences in UV reflectance between the samples. Sample 1, the sample with higher brightness as dictated by the manufacturer, should have a higher difference in reflectance ( $\Delta E_{2000}$ , also referred to as  $\Delta E_{00}$ ) between samples illuminated with UV and non-UV component light sources D50 and A than Sample 2, the sample with a lower brightness value. This difference in reflectance under UV component light sources is impacted by the level of OBAs added to the substrates to augment brightness by increasing the fluorescence of the paper. A  $\Delta E_{00}$  less than 1 reflectance is important data to be aware of, but data not exceeding this limit is not controversial, as the human eye starts to distinguish differences in perceived color at a  $\Delta E_{00}$  of 1. It should be noted that the results in this study are isolated from other factors contributing to an increased  $\Delta E_{00}$ , such as lack of press calibration and natural variation, and when all factors combine, they make the  $\Delta E_{00}$  even higher.

According to ISO 13655 measurement standard, the qualification of each substrate as fluorescent is determined by the  $\Delta E_{00}$  between the Illuminant A and D65  $L^*a^*b^*$  values. The authors of this paper, supported by Dr. Malcolm Keif (California Polytechnic State University San Luis Obispo), propose that a  $\Delta E_{00}$  between light sources of greater than 0.5 reflectance is

significant enough to assume the paper is fluorescing as result of OBAs and is not because of natural variation in the measurement process. Analysis through this proposition may confirm that Sample 1 fluoresces more than Sample 2 as result of OBAs.

Because contract and press proofs are viewed under D50 viewing conditions, yet are often measured numerically under Illuminant A, the  $\Delta E_{00}$  between Illuminants A and D50 were compared to prove the difference in fluorescing capability is significant enough to affect color perception for the print customer.

### *Methods*

Both samples were printed on a Konica Minolta C5000 with multiple ink coverage test targets, images, brand color logos, and G7 test targets. G7 methodology was followed and a GRACoL 2006 color profile was built using the EFI Fiery Color Profiler Suite by printing and measuring an ECI2000 target on each sample to obtain balanced grays. This allowed for the focus in any visual color shift to be directly related to the substrate instead of any color cast issues.

Each sample was measured with the Konica Minolta FD-7, which measures the reflectance of the paper using the different light sources A, D50, and D65. The 25% and 100% coverage color patches for CMYK and the process color overprints RGB were measured and analyzed. The FD-7 device was used with the *FD-7 DemoApp* to capture spectral and  $L^*a^*b^*$  data with the specified light sources. Resultant data from each test were then plotted and  $\Delta E_{00}$ s calculated in Microsoft Excel for numerical and graphical analysis.

## Chapter 4 – Results

### *Delta-E 2000*

$\Delta E_{00}$  (also  $\Delta E_{2000}$ ) data were calculated using  $L^*a^*b^*$  data. According to ISO 13655-2009 whether or not the substrate fluoresces indicates the amount of OBAs in the substrate and is reflected in the  $\Delta E_{00}$  between D65 and A.

	LA	aA	bA	LD65	aD65	bD65	$\Delta E_{00}$
Sample 1 Futura	95.96	1.21	-4.89	96.82	1.09	-9.27	3.4322
Sample 2 Kelly	94.14	0.49	-3.4	94.49	0.76	-6.22	2.3452

Table 4.1 – Spectral Reflectance data and  $\Delta E_{00}$  distribution between D65 and A for Samples (No Ink)

Sample 1 has a greater  $\Delta E_{00}$  value than Sample 2 by 1.1. Under the presumption that a  $\Delta E_{00}$  greater than 0.5 proves fluorescing caused by OBAs, both substrates are fluorescing. Sample 1 is fluorescing more than Sample 2, which can result in discrepancy in color when viewed under different light sources as a result of OBAs in the paper.

Difference in color between D50 and Illuminant A light sources is relevant because of the discrepancy between the application of D50 (standard light booth viewing conditions) and A (lighting found in current spectrodensitometer technology). Table 4.2 shows  $\Delta E_{00}$  data for Samples 1 and 2 at different percent ink coverage for each process color or process color overprint. Table 4.3 shows the difference in  $\Delta E_{00}$  between percent coverage per color for each sample.

Sample 1 Futura	$\Delta E00$
Black 25	1.6049
Black 100	0
Cyan 25	1.0108
Cyan 100	0.3155
Magenta 25	1.2751
Magenta 100	0.6618
Yellow 25	1.0671
Yellow 100	0.2654
Red 25	0.8987
Red 100	0.3648
Green 25	1.0023
Green 100	0.1385
Blue 25	1.142
Blue 100	0.2323

Sample 2 Kelly	$\Delta E00$
Black 25	0.845
Black 100	0
Cyan 25	0.5207
Cyan 100	0.1202
Magenta 25	0.6279
Magenta 100	0.3087
Yellow 25	0.7859
Yellow 100	0.0681
Red 25	0.553
Red 100	0.1682
Green 25	0.5079
Green 100	0.0409
Blue 25	0.496
Blue 100	0.071

Table 4. 2 – Spectral reflectance calculated as  $\Delta E00$  distribution between D65 and A for Samples 1 and 2 by color and percent coverage (both 25% and 100%)

For Sample 1, each process color and process color overprint has a higher  $\Delta E00$  between Illuminant A and D50 in the 25% coverage area than the 100%. Of all the measured colors for Sample 1, black at 25% coverage had the highest  $\Delta E00$  value while red had the lowest. At 100% coverage, magenta was the highest  $\Delta E00$  value for Sample 1 and black was the lowest.

For Sample 2, each process color and process color overprint also had a higher  $\Delta E00$  between Illuminant A and D50 in the 25% coverage area than the 100% coverage. Among the colors tested, black had the highest  $\Delta E00$  value at 25% coverage for Sample 2 whereas blue at

25% coverage was the lowest. Magenta had the highest  $\Delta E_{00}$  value at 100% coverage of all the process and process overprint colors for Sample 2 while black had the lowest  $\Delta E_{00}$  value at 100% coverage just as it had in Sample 1.

Sample 1 Futura	Difference in $\Delta E_{00}$	Sample 2 Kelly	Difference in $\Delta E_{00}$
Black	1.6049	Black	0.845
Cyan	0.6953	Cyan	0.4005
Magenta	0.6133	Magenta	0.3192
Yellow	0.8017	Yellow	0.7178
Red	0.5339	Red	0.3848
Green	0.8638	Green	0.467
Blue	0.9097	Blue	0.425

Table 4.3 – Difference in  $\Delta E_{00}$  between 25 and 100 percent coverage between D65 and Illuminant A

Black also had the largest difference in  $\Delta E_{00}$  values between the 25% and 100% coverage areas for both Sample 1 and Sample 2. Referring back to Table 4.2, black had the lowest  $\Delta E_{00}$  at 100% coverage but the highest  $\Delta E_{00}$  value at 25% coverage, which results in the large difference in  $\Delta E_{00}$  for black in both samples. This means that as more ink was applied to the paper and percent coverage was increased, the amount of light reflected through the halftone screening was reduced and the impact of the OBAs decreased to a minimal level with the higher percent coverage.

### *Spectral Data*

Spectral data is presented in graphical form to show the stratification of spectral reflectance for Illuminants A, D50, and D65 for each combination of substrate, color, and percent coverage.

## Substrate

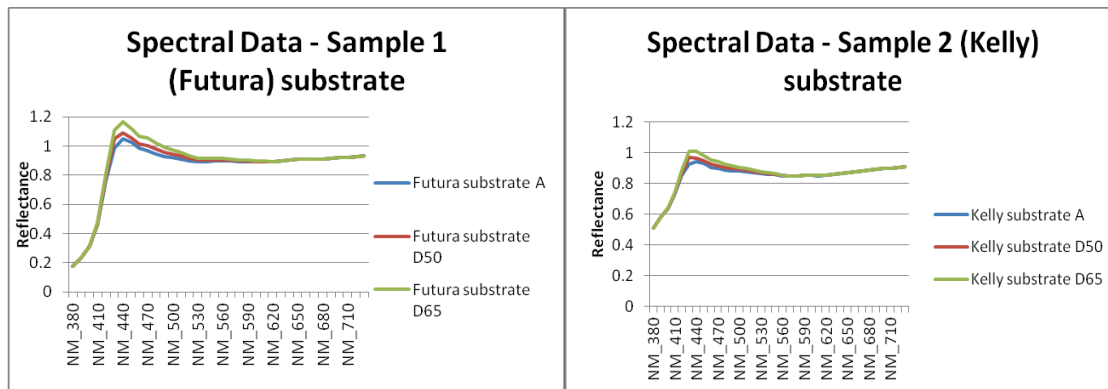


Figure 4.1 – Spectral Reflectance data of Sample 1 Substrate (No ink)

Figure 4.2 – Spectral Reflectance data of Sample 2 Substrate (No ink)

Spectral data of the three types of illuminants for both Sample 1 and Sample 2 Substrates (no ink) shows that the highest reflectance in the visible spectrum of light occurs in the blue part of the spectrum under D65 lighting (the light source with the highest UV component). The lowest reflectance throughout the spectrum occurs under Illuminant A (the light source with no UV component). The increased reflectance in the blue part of the spectrum under D65 lighting is the result of OBAs added to the paper, which increase the fluorescing properties of the paper making it appear whiter and brighter. Sample 1, which has a higher brightness value, exhibits greater stratification in reflectance values in the blue part of the spectrum as a result of the higher amount of OBAs the paper contains compared to Sample 2. The increase in the blue part of the spectrum for both unprinted samples impacts the measurement and appearance of process and process overprint colors under different light sources, particularly on a screen with low percentage of ink coverage.

## Process Cyan

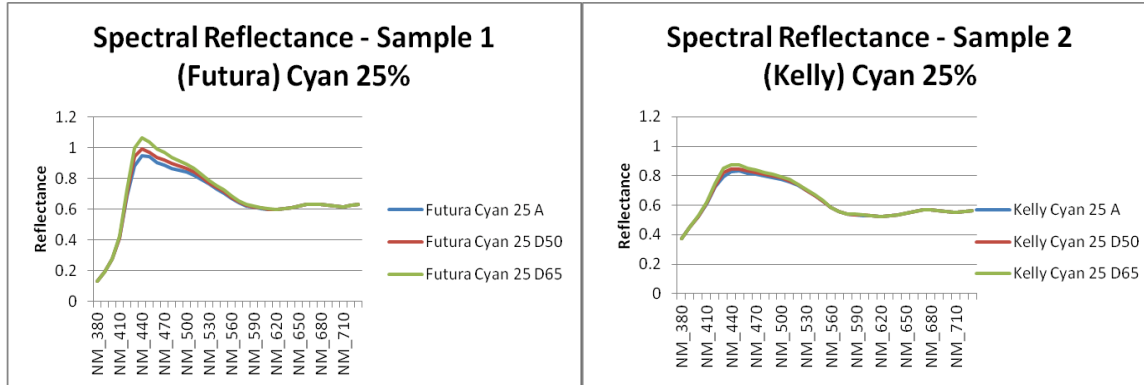


Figure 4.3 – Spectral Reflectance data of Sample 1 Substrate with cyan ink at 25% Coverage

Figure 4.4 – Spectral Reflectance data of Sample 2 Substrate with cyan ink at 25% Coverage

For cyan ink at 25% coverage on Samples 1 and 2, Illuminant D65 had the highest reflectance in the blue part of the visible light spectrum of all the illuminants tested on the substrate. The stratification in the blue part of the spectrum is most notable on Sample 1, which contains more OBAs than Sample 2. Outside of the blue part of the spectrum, the difference in reflectance between light sources is minimal, supporting the idea that the OBAs are the source for the change in reflectance values as the change occurs in the blue part of the spectrum where the OBAs would affect the values.

## Process Magenta

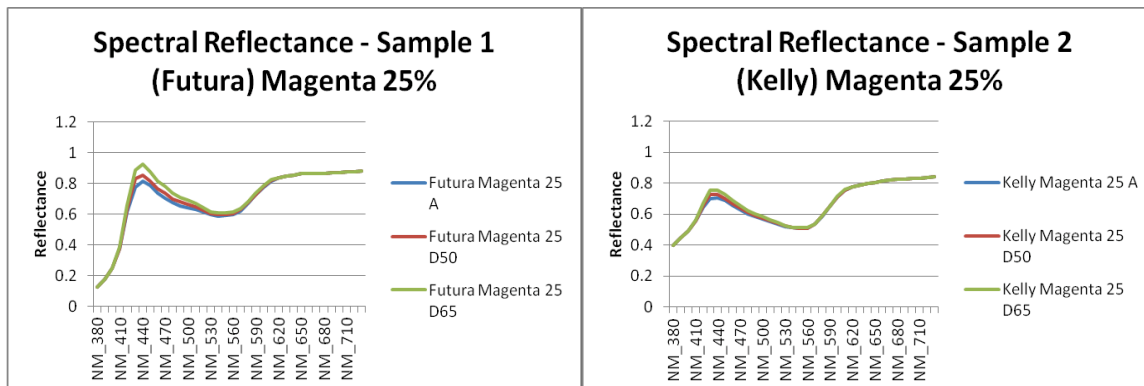


Figure 4.5 – Spectral Reflectance data of Sample 1 Substrate with magenta ink at 25% Coverage

Figure 4.6 – Spectral Reflectance data of Sample 2 Substrate with magenta ink at 25% Coverage



For both Samples 1 and 2, the greatest change in reflectance throughout the visible light spectrum occurs in the blue part of the spectrum for magenta ink at 25% coverage. The highest reflectance for magenta in Sample 1 occurs under the D65 light source, whereas in Sample 2 the peak in the reflectance curve occurs in the red part of the visible spectrum of light. To address the notable decrease in the reflectance at 470-590nm (the green part of the spectrum), magenta absorbs green light, so the reflectance in this region of the curve is to be expected.

### Process Yellow

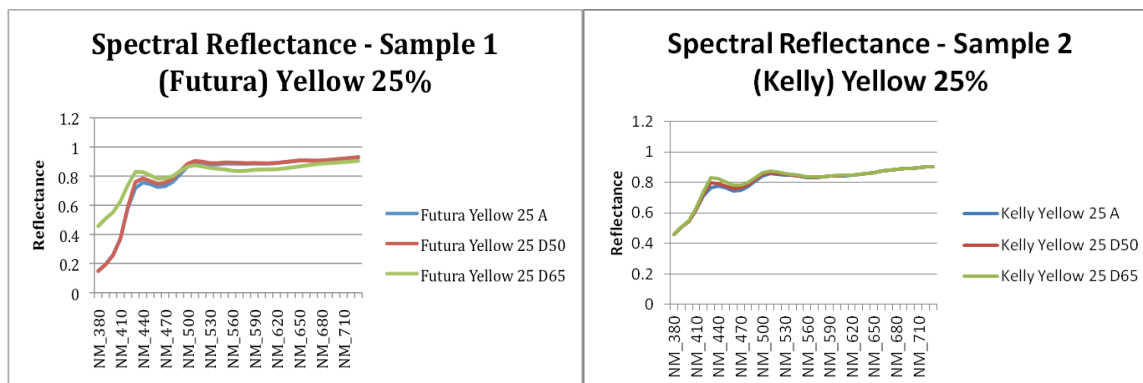


Figure 4.7 – Spectral Reflectance data of Sample 1 Substrate with yellow ink at 25% Coverage

Figure 4.8 – Spectral Reflectance data of Sample 2 Substrate with yellow ink at 25% Coverage

For yellow at 25% coverage on Sample 1, D65 reflects more in the blue part of the visible spectrum, however, when approaching the transition between the green and red part of the spectrum, D50 reflects more light than D65. This data seems to be potentially skewed, as no other color's graph behaved this way, including the same ink on the other substrate. Also, the very low D50 reflectance at the beginning of the spectrum was not expected. Sample 2 shows less stratification throughout the visible spectrum than Sample 1, with D65 lighting providing the greatest difference in reflectance values in the blue part of the spectrum, but the green to red portion of the spectrum still reflected the most, due to the fact that yellow ink absorbs blue light.

## Process Overprint Red

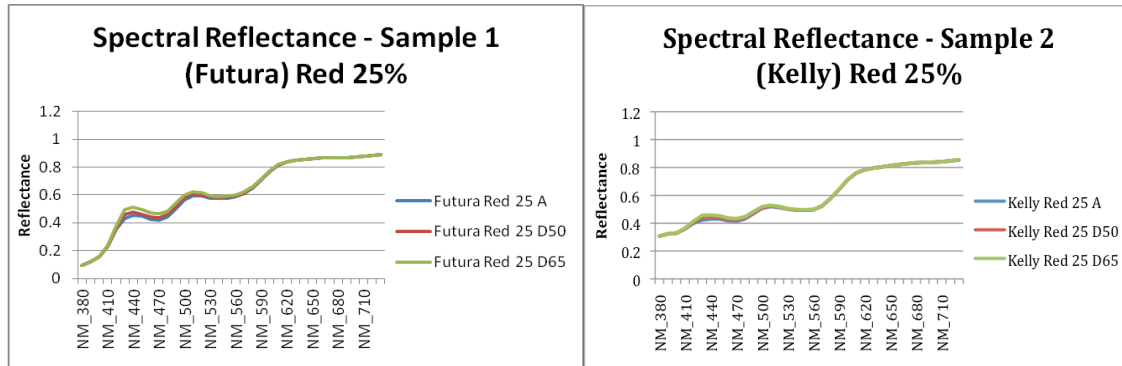


Figure 4.9 – Spectral Reflectance data of Sample 1 Substrate with Process Overprint red at 25% Coverage

Figure 4.10 – Spectral Reflectance data of Sample 2 Substrate with Process Overprint red at 25% Coverage

Process overprint red is a combination of halftone screenings of process magenta and process yellow. For both Sample 1 and Sample 2, reflectance values are relatively consistent throughout the visible spectrum except in the blue part of the spectrum. Sample 1 features greater stratification between reflectance values under the different illuminants than Sample 2, however both have the highest reflectance values occurring under the D65 light source. The highest overall reflectance occurs in the red part of the visible spectrum, which is to be expected because this is what makes the color appear red.

## Process Overprint Blue

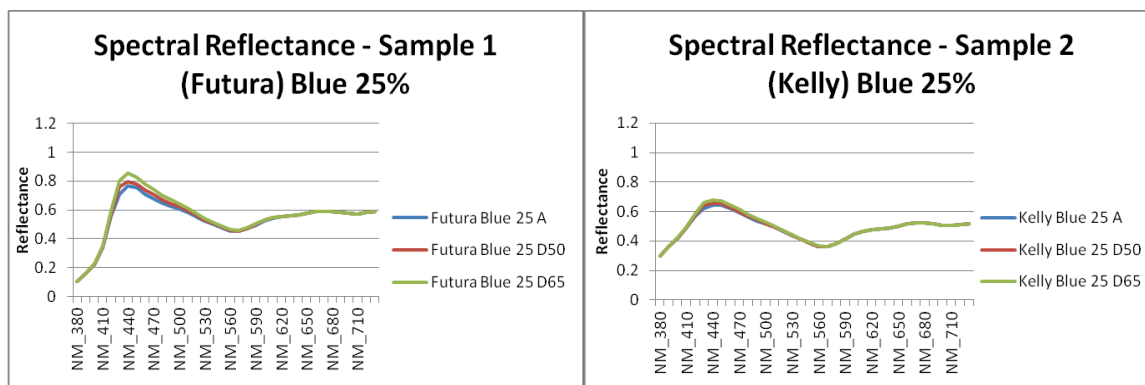


Figure 4.11 – Spectral Reflectance data of Sample 1 Substrate with Process Overprint blue at 25% Coverage

Figure 4.12 – Spectral Reflectance data of Sample 2 Substrate with Process Overprint blue at 25% Coverage

Process overprint blue is a combination of halftone screenings of process cyan and process magenta. Comparing Sample 1 and Sample 2, Sample 1 exhibits greater stratification between light sources in the blue part of the spectrum than Sample 2. Both samples' greatest reflectance occurs in the blue part of the spectrum under the D65 light source. The greater presence of OBAs in Sample 1 boosts the blue reflectance more than in Sample 2.

### Process Overprint Green

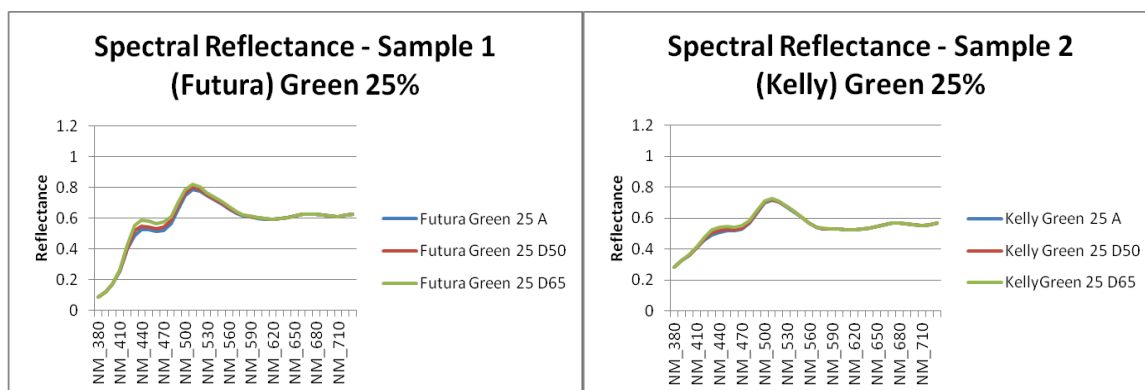


Figure 4.13 – Spectral Reflectance data of Sample 1 Substrate with Process Overprint green at 25% Coverage  
 Figure 4.14 – Spectral Reflectance data of Sample 2 Substrate with Process Overprint green at 25% Coverage

Process overprint green is a combination of halftone screenings of process cyan and process yellow. The greatest reflectance for Sample 1 and Sample 2 occurs in the green part of the spectrum of light, however for both samples the greatest stratification in reflectance values occurs in the blue part of the spectrum. Under the D65 light source, both samples fluoresce and reflect more blue light, but overall the reflectance values are relatively stable throughout the visible spectrum.

## Chapter 5 – Conclusion

Brightness values affect reflectance because of the amount of OBAs in paper. Paper with more OBAs increases the fluorescence, and therefore, the UV reflectance of the substrate. In this study, the sample images appeared similar under visual comparison due to G7 methodology used. However, the impact of increased OBAs still created a quantitative difference in the  $\Delta E_{00}$  reflectance values between Illuminant A and D50.

The  $\Delta E_{00}$  of 3.4322 between Illuminants A and D65 in Sample 1 and of 2.3452 in Sample 2 reveals that both substrates fluoresce according to ISO 13655, because both  $\Delta E_{00}$  measurements are higher than .5. Sample 1 had a greater  $\Delta E_{00}$  than Sample 2, meaning it fluoresces more and is a brighter paper. This is consistent with Sample 1's higher brightness number as dictated by the manufacturer, which, with support of  $\Delta E_{00}$  findings, means that it contains more OBAs than Sample 2. Comparison of Figures 4.1 & 4.2 supports the idea that the substrate with more OBAs will then have a greater variability in reflectance values between light sources, causing discrepancies when measured or viewed under different light sources. Because Sample 1 will appear brighter under UV component D50 lighting, colors may appear different not only between substrates under the same light, but in the same substrate under different light sources. Because D50 and A light sources are commonly used in standard light booths and spectrodensitometer tools respectively, a  $\Delta E_{00}$  difference between these light sources is a relevant source of concern when it comes to color matching.

The 25% coverage areas for each color on both substrates had higher  $\Delta E_{00}$  results than the 100% coverage areas. In fact, the substrate without ink had the highest  $\Delta E_{00}$  for Sample 1 (0.9332) and the second highest  $\Delta E_{00}$  for Sample 2 (1.4134). The greater the ink coverage on high OBA substrates, the less the spectral reflectance under different illuminants. This means

that profile adjustments for accurate color reproduction cannot simply be made based on the ink color and substrate, but must also consider the coverage percentage.

It was initially assumed that the yellow ink would have greater  $\Delta E_{00}$  between light sources because yellow is blue's complimentary color; they are opposites on the RGB color wheel. This means that yellow absorbs the most blue light and reflects the least, so it was expected that the  $\Delta E_{00}$  in the blue region would have less variation than other colors would. However, the data indicates this assumption was inaccurate. On Sample 1, the yellow 25%  $\Delta E_{00}$  was 1.0671, higher than cyan, but lower than black, magenta, and the substrate itself. However, yellow had a higher difference between the 25%  $\Delta E_{00}$  and the 100%  $\Delta E_{00}$  than the cyan or magenta (0.8017), though lower than black, reinforcing the fact that the unprinted substrate fluoresces enough to influence the overall perceived color of the area. On Sample 2, the difference between yellow's 25% and 100%  $\Delta E_{00}$  was 0.7178; again, second highest after black. This is because the 100% black on both substrates reflects so little light either way that there was no  $\Delta E_{00}$  between light sources.

Yellow was not affected the most overall by the fluorescence, but was affected the most (except for black) compared to its solid area counterpart. Additionally, the  $\Delta E_{00}$  values were determined from the  $L^*a^*b^*$  values measured, not from spectral data specific to the blue region. Isolating this section for further analysis could prove a greater difference in reflectance between light sources compared to the same region for other colors. Further study is needed to determine if specific colors are more influenced by OBAs substrates under different illuminants.

As a group, the overprinted colors (red, green and blue) had lower  $\Delta E_{00}$  measurements than the individual process colors. Among Sample 1 measurements, red had the lowest  $\Delta E_{00}$  at 25% screening at 0.8987, while blue 25% had the lowest for Sample 2, at 0.4960. This can be

attributed to the fact that layering screens of multiple colors can muddy the effect of reflectance, resulting in a lower  $\Delta E_{00}$ .

Overall, the majority of the  $\Delta E_{00}$  measurements were under 1, with some slightly over, but none reaching 2. The highest  $\Delta E_{00}$  for both substrates was black 25%, at 1.6049 for Sample 1 0.845 for Sample 2. Therefore, when measuring for accurate color for one job or process with machinery of differing light sources, the effect on color may not vary drastically when different light sources are used. However, the information is valuable because, when compounded with natural variation or other contributing factors to an increased  $\Delta E_{00}$ , this could create a problem when it comes to color matching specifications of a customer between press and proof.

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