I. Minutes: Minutes of the Academic Senate Executive Committee meetings of March 26, April 16, and April 23, 1996 (pp. 3-8).

II. Communication(s) and Announcement(s):
The President’s luncheon for present/new Executive Committee members is scheduled for Thursday, May 30 from 11:30 to 1:00pm.

III. Reports:
A. Academic Senate Chair:
B. President’s Office:
C. Vice President for Academic Affairs:
D. Statewide Senators:
E. CFA Campus President:
F. Staff Council representative:
G. ASI representatives:
H. IACC representatives:
I. Other:

IV. Consent Agenda:

V. Business Item(s):
A. Election of faculty to the Consultative Committee for the Selection of Dean, CAED: (the elected slate of candidates will be distributed at the meeting).
B. Selection of faculty to the Consultative Committee for the Selection of Vice President, Information Systems: [BRING THE NAMES OF INTERESTED FACULTY FROM YOUR COLLEGE TO THE MEETING].
C. Resolution in Support of the Charter Governance Committee Proposal for the Cal Poly Governance Council: Gooden, faculty representative to the Charter Governance Committee (pp. 9-24).
D. Resolution on Credit for Advanced Placement Exams: Freberg, chair of the Instruction Committee (p. 25).
E. Resolution on the Academic Calendar: First Day of Instruction: Freberg, chair of the Instruction Committee (p. 26).
F. Resolution on Policy on Amorous Relationships: Swartz, chair of the Status of Women Committee (pp. 27-30).

--- continued on page two
G. Resolution on Allocation of Cal Poly Funds: Hood, chair of the Budget Committee (p. 31).
H. Resolution on Input into Campus Planning: Greenwald, Academic Senate Chair (p. 32).

VI. Discussion Item(s): TIME CERTAIN 4:30pm
   A. Intercollegiate Athletics
   B. Cal Poly Plan: ongoing discussion.

VII. Adjournment:
WHEREAS, The Charter Governance Committee has proposed a structure and procedure for the internal governance of the University in those areas affecting all constituencies outside the realms of each constituency’s area of exclusive responsibilities; and

WHEREAS, The underlying purpose of the Charter Governance Committee Proposal for the Cal Poly Governance Council is to “utilize a decision making process to yield the highest cooperation of all constituent groups within the University”; and

WHEREAS, To achieve the above stated end of “highest cooperation,” the Charter Governance Committee itself employed and urges the Governance Council to adopt the National Association of Women’s Centers consensus model [see Attachment A of the Proposal]; and

WHEREAS, The Charter Governance Council based its Proposal on the underlying principles of Involvement; Efficiency; Timely, Involved Actions; Mutual Responsibility and Accountability; Communication; Consultation; Openness; Environment; and Leadership as stated on pages 3 and 4 of the Proposal; and

WHEREAS, The area of faculty exclusivity is understood to entail the topics mentioned in Attachment B of the Proposal which paraphrases the tradition of faculty prerogatives, duties and responsibilities as contained in California Law, and resolutions and understandings reached by the CSU Chancellor and Board of Trustees detailed in PRINCIPLES AND POLICIES: Papers of the Academic Senate, The California State University (Vol. 1, 1988); and

WHEREAS, The Proposal does not countenance any restrictions on the prerogatives traditionally enjoyed by the constituent groups but instead attempts to achieve a greater degree of involvement and understanding concerning policies affecting the entire University community by providing a representative forum where significant discussion can occur and consensus may emerge; therefore, be it

RESOLVED: That the Academic Senate of Cal Poly support the attached Charter Governance Committee Proposal for the Cal Poly Governance Council; and, be it further

RESOLVED: That the Academic Senate of Cal Poly urge its adoption on a trial basis for a period of three (3) years.

Proposed by the Charter Governance Committee
July 5, 1995
CHARTER GOVERNANCE COMMITTEE

PROPOSAL FOR

THE CAL POLY GOVERNANCE COUNCIL

Charter Governance Committee Charge

The Charter Governance Committee was appointed by Vice President Robert D. Koob (November, 1994) to examine the internal governance structure of the campus and its relationship to other constituencies, i.e., the CSU system, State Legislature, statewide student organizations, bargaining units, and the CSU Academic Senate.

The Charter Governance Committee in its early deliberations decided its initial charge would be to develop an internal governance structure for the campus during the academic year 1994-95. Other governance relationships would be addressed in academic year, 1995-96.

The following proposal for a Cal Poly Governance Council was developed in conjunction with the Charter Oversight Committee, the Charter Fiscal Flexibility Committee, and the Charter Employee Relations Committee. The underlying desire on the part of the Charter Governance Committee was to develop a model that will utilize a consensus decision making to yield the highest cooperation of all constituent groups within the University. The Charter Governance Committee adopted the National Association of Women's Centers consensus model for its
own deliberations and recommends its use by the proposed Governance Council. This procedure is described in Attachment A.

In preparing the governance model, the Charter Governance Committee itself adopted a standard of participation that asked each committee member for a commitment to preparedness, openness, excellence and consultation with constituent groups. These standards of participation led to the development of the governance model.

**Charter Governance Committee Membership**

Appointed to the Charter Governance Committee were:

Juan C. Gonzalez, Vice President for Student Affairs--administration representative, Chair
Eric Doepel, Director, Annual Giving--representing Staff Council
Pat Harris, Coordinator, Women's Programs and Services--representing Staff Council
James Conway, Speech Communications Department--representing CFA/Labor Council
Marsha Epstein, Information Technology Services--representing CSEA/Labor Council
Reginald Gooden, Political Science Department--representing Academic Senate
Tom Hale, Mathematics Department--representing Academic Senate
Diane Michelfelder, Philosophy Department--representing Academic Senate
Yvonne Archibeque--student representative
Erica Brown, ASI President--student representative
Clint Rehermann--student representative
Robert Koob, Vice President for Academic Affairs--administration representative
Wesley Witten, community advisory member
Lorraine Ridgeway, recording secretary

**Guiding Principles Utilized by the Charter Governance Committee**

In an effort to guide the Charter Governance Committee in developing models for governance, the following guiding principles were adopted. They would serve as a
basis for developing a new governance structure and setting standards for performance. These principles are:

- **Involvement.** All constituents across campus should be involved in all issues; however, the degree of involvement may vary depending on the interest, need, and time constraints imposed by the nature of the issues.

- **Efficiency.** The University's current and prospective needs and demands require increased efficiency, that is, more accomplished with fewer resources. Accordingly, governance actions and processes must strive for efficiency.

- **Timely, Involved Actions.** Conclusions and results should be timely to satisfy needs and capture opportunities. Involvement means addressing both immediate and pressing as well as strategic long-term issues with approaches that are innovative, responsible, and anticipatory.

- **Mutual Responsibility and Accountability.** All constituents must participate with a high level of trust in order to initiate and facilitate change. To achieve this high level of trust, all participants must act responsibly and be accountable for their actions.

- **Communication.** Communication must be open and thorough.

- **Consultation.** All constituents need to be consulted for input and involved in the conceptualization and implementation of change.

- **Openness.** The entire process must be open and accountable to all constituents.
- Environment. All elements of the institutional environment, that is all constituent groups need to be identified and included. Some actions will impact constituent groups outside the institution such as community members and alumni.

- Leadership. Leadership must be active, vigorous and decisive to shape an institutional vision and implement changes to realize Cal Poly's goals.

COMPONENTS OF THE PROPOSED CAL POLY GOVERNANCE MODEL

I. Authority

It is proposed that the Cal Poly Governance Council have authority to address all issues not governed by areas of exclusivity. Exclusivity is defined as those areas that are delegated or mandated to other groups by either Board of Trustee policy, Title V, and/or California State Code (HEERA). The four areas of exclusivity defined by the committee are:

- Presidential Authority (the President)

- Mandated Student Control of Fees (A.S.I.)

- Employee Relations, Terms and Conditions of Employment (exclusive bargaining units)

- Faculty Retention/Promotion/Tenure and Evaluation; Curricular Curriculum Content (Academic Senate)
The Cal Poly Governance Council will focus its energies primarily on the development and review of policies. As the policy governing body, the Governance Council will also evaluate how policy is implemented.

The Cal Poly Governance Council will require sub groups to exist in order to deal with areas of exclusivity or other pressing issues on campus. These standing committees will include, but will not be limited to, the Employee Relations Committee. The chair of this and other standing committees will be present at meetings of the Governance Council to provide consultation and to ensure effective communication.

II. Cal Poly Governance Council Membership

The University President will chair the Cal Poly Governance Council as a voting representative of the Administration.

Membership in the Cal Poly Governance Council will be drawn from four constituent groups. These groups are defined as the Academic Senate for faculty; Associated Students, Inc., for students; the Staff Council for staff, and the Administration. Each constituency will be represented by three (3) members for a total of twelve (12) voting members. Every attempt will be made to ensure Labor Council representation through the Academic Senate (faculty) or the Staff Council (staff).

Additionally, the Foundation will be represented either by the Administration or the Staff Council (staff).
Each constituency will determine its own selection or appointment method for its representatives. It is recommended that representative terms be staggered in order to ensure continuity.

III. Communications

Communication is the pivotal component of an effective governing council. Communication is paramount and vital to help increase campus morale, facilitate effective decision making, and create opportunities to involve members of the community. Communication is seen as an important governance function to facilitate responsible action by constituent groups and provide full accountability for joint decision making.

Each constituent group will be held responsible for conveying information to and from the Governance Council. Recommended means of communication include meeting minutes, newsletters, electronic mail, and the student newspaper. University publications should be seen as potential vehicles for increased communication.

The Governance Council and each constituency are expected to prepare their own communication plan and implement it effectively.

Meetings will be generally open to the public with an option to call closed meetings when deemed necessary. Weekly meetings will be scheduled year-round. Confidentiality is not seen as desirable; rather, openess and inclusivity are priorities.
IV. Agenda Setting

Cal Poly Governance Council agenda items may be offered by any member of the campus community. All agenda items will be submitted to the Governance Council Chair. Agenda items will be prioritized by the Governance Council.

V. Responsibility and Accountability

Members representing different constituencies will be responsible to those constituencies for all decisions, communication, consultation, and involvement. It is acknowledged that all constituents must participate with a high level of trust to satisfy the demands of the governance structure. The commitment to shared decision making obligates each member to bear the equal responsibility of collective, consensus-based stewardship.

VI. Decision-making Process

The National Association of Women's Centers consensus model for decision-making will be adopted by the Governance Council. This procedure is described in Attachment A.

VII. Timeliness

All efforts should be directed toward comprehensive communication and consultation. The ability to have timely involvement may be affected by external forces, the complexity of the issues, the need for constant consultation, and other factors. Timeliness will depend on the nature of the topic.
VIII. Resources

Simply creating a governance council does not provide the necessary ingredients to make it successful. Indeed, institutional investment is a prerequisite. The Cal Poly Governance Council should receive appropriate resources for it to be successful in its charge.

IX. Relationship to Existing Structure

The Governance Council will define official links to on-going structures and processes. These links will be explicit, formal, and consistent.
A NOTE ON
NAWC
PROCEDURE

The National Association of Women's Centers uses a consensus model of
decision making in all our meetings. Simply, majority does not rule; dissent is
considered as part of the process which leads to an acceptable result for all. A
group consensus does not necessarily mean a unanimous agreement of each
individual, but rather that the decision of the group is reasonable enough that
no individual wishes to object to, or block, the decision.

NAWC CONSENSUS MODEL: BASIC UNDERSTANDINGS

There are some basic understandings that must be understood by each
member of the group when using this type of decision making process. In NAWC, we
hold the fundamental view that all members are entitled to express themselves
regardless of how much time is required for all who wish to speak to be heard. If time
does not permit a full discussion, the item should be tabled until full discussion can
occur. Similarly, if agreement can not be reached it is appropriate to either send the
issue to committee or table the issue to let it rest. Often agreement can be reached
after all have reconvened and look at the issue with a fresh perspective. One does not
however, hold the right to disrupt the group process by refusing compromise and
becoming "a brick wall" and an obstacle to the decision making process.

We accept that each member brings to the group not only ideas but unique personality
and experiences. Individuals and their experiences are always valid and do
contribute to the decision making process, even if other individuals do not share
similar experiences.

We accept that each one of us has a role as an equal member of the group. We may
choose individuals for completing tasks but no member is a hierarchical authority. We
are each obligated to help lead the group.

DECISION MAKING PROCESS OF NAWC

The first aspect of decision making is voicing a proposal. Unlike
parliamentary organizations discussion of an issue can occur before a formal
proposal is made. A discussion may begin with, "Do you think we should...", or it may begin with "I propose that we...". There is no "wrong" way to bring a
matter to the floor for discussion.

After a proposal is made, individuals have several options of response to a
proposal that form a continuum from unanimous decision to no decision: Full
agreement, acceptance, acceptance with reservation, acceptance with
disagreement, and blocking disagreement. Each response and how it is
interpreted follows.

Full agreement. An individual agrees fully to all aspects of a proposal or
decision. A proposal does not often pass in full agreement unless it is about non complex issues, such as, “Shall we break for lunch now?”

Acceptance- An individual agrees to a proposal or decision, but does not hold as much personal attachment to the matter. Most proposals pass with this type of acceptance which holds a “It sounds like a good idea- I can go along with that” type attitude. Such a response seems to be found when setting dates and deadlines. More matters are passed with this type of basic agreement.

Acceptance with reservation- An individual agrees to a proposal or decision but holds some doubt, or discomfort about part of the decision. This response may be given in cases such as, “the proposal is that we budget $2000 for conference scholarships” and as an individual you feel the amount should be less, but you are willing to let the $2000 figure stand.

Acceptance with disagreement- An individual agrees with part of a proposal or decision but holds disagreement with another part of the decision, but is not willing to have their disagreement stop action by the group as a whole. For instance, one proposes that we “donate” our mailing list to a university which is looking for a new director of their women’s center. You feel that the university should pay for the list because they have financial resources, yet you do see that the position announcement can be a benefit to our membership. You agree to give the university the list despite that you want them to pay for it.

Blocking disagreement- An individual disagrees with a decision, and is willing to have their disagreement stop action by the group as a whole. This response should be used only when there is extremely divergent views. Blocking does not end discussion of an issue but rather begins the search for a negotiated compromise. This position, if used inappropriately, can disrupt the group process. If the group tries to negotiate a new decision and the blocking individual refuses to negotiate, the remainder of the group may determine that the action of the individual has moved from voicing descent to trying to break down the group and thus the individual has surrendered her role as an equal member of the group. The group may then decide to act without the participation of the blocking individual.

THE BENEFIT OF OUR CONSENSUS MODEL

The consensus model used by NAWC allows for open discussion, differing opinions, and for conflict as we make decisions. We believe that this allows us to focus on matters in a realistic and humane manner which ultimately leads to the highest cooperation of our members as we fulfill our mission. Each member is included and there is never a “wrong” time to question procedure, ask for clarification or express your view on the topic at hand. While conflict can be difficult, resolution and ultimate agreement is our reward.
FACULTY PLAN

In offering our alternative, we have proceeded on the assumption that "The Committee" (Campus Committee, Pipeline, Tunnel, Poly Rump, ... whatever we decide to call it) will function so as to embody the six principles we have entertained so far: Communication, Openness, Consultation, Timely Involvement, Mutual Responsibility and Leadership. We want to restrict its policy-making power to solely those issues which directly affect the entire campus community, for example, parking and the budget. On all other matters, The Committee will function as an entrepot for issues affecting the manifold constituencies of the Campus. Here all groups will have the opportunity to share in a timely manner concerns which bear on them and the community at large. In this way, all will be informed, consulted and have the opportunity to participate in the generation of understanding and the prospect of achieving a comfortable level of consensus. It would be improper for this group to voice the final recommendation to the Board of Trustees or its representative on matters pertaining exclusively or primarily to one or only some of the Campus constituencies. To the degree that The Committee is recommending on matters that involve all the Campus community, it will be incorporating the six principles, and perhaps others as well (for instance, fairness, comity, good manners, generosity, et cetera).

At least some dimensions of Leadership or Mutual Responsibility resides with The Committee in all of its functions, such as when it acts primarily in the capacity of information conduit and mutual soundingboard as well as when it is acting as a policy-making organ. In all its functions, it must express the support of all its constituents otherwise it will lapse into irrelevancy and join the other spooks we are forever attempting to exorcise. How well it maintains the dedication, attention and respect of the community will depend on the importance of the issues discussed. Although all issues may be broached, some (for example, the sacking of the men's and women's basketball coaches) may best be left for the editorial pages of the Mustang Daily. However, the athletic budget allocation would be open for discussion!

Just as the faculty think that there may be issues which exclusively concern one or a few of the constituency groups, so are there some areas over which the faculty remains jealously protective. Among these are the following:

- the Academic Senate is the official voice of the Cal Poly faculty;
- the Senate shall be the formal policy-recommending body on decisions pertaining to the following matters:
  - minimum admission requirements for students,
  - minimum conditions for the award of certificates and degrees to students,
the academic conduct of students and the means for handling infractions, curricula and research programs, developing of policies governing the awarding of grades, minimum criteria and standards to be used for programs designed to enhance and maintain professional competence, including the awarding of academic leaves, campuswide aspects of academic planning. The Senate shall be consulted on campuswide aspects of: program review, the basic direction of academic support programs, and policies governing the appointment of the president and academic administrators. The faculty has the primary responsibility to recommend to the president the criteria and standards for the appointment, retention, awarding of tenure, promotion and evaluation of academic employees, including preservation of the principle of peer evaluation and provision for the direct involvement of appropriate faculty in these decisions; to determine the membership of the General Faculty; recommend on faculty appointments to institutional task forces, advisory committees and auxiliary organizations; and set academic standards and academic policies governing athletics.
FACULTY PLAN

SCOPE OF AUTHORITY

The Committee's paramount policymaking recommendations to the president would be limited solely to those issues involving the entire campus community, such as, parking or the distribution of the budget. In matters traditionally the prerogative of the faculty, such as the curriculum, the content and definition of what constitutes a baccalaureate class or the qualifications of persons entitled to teach such classes, the faculty insist on having the final say, after appropriate consultation with interested parties, before transmitting their recommendation to the president. Students and administration currently have representation in the senate and committees pertinent to their involvement.

MEMBERSHIP

The distribution of the members would not be so critical to the faculty so long as the faculty exercise last say over matters recognized as falling under their responsibility and so long as the distribution reflects the fact that this is a university and the academic side must be safeguarded. With that in mind, we suggest the following distribution: five faculty, three students, two staff, and one administrator.

AGENDA SETTING

This issue will always stimulate controversy because external exigencies may crowd out very important internal concerns. What the Committee is primarily concerned with is taking the long view so as to address issues in such a fashion as to avoid having to be forced into a posture of crisis management. That will take patience and good will on the part of the representatives of the various constituencies. All issues may be given an audience but the members, through the development of mutual trust, have to reconcile themselves to the reality that all won't be given priority. Constituencies will transmit issues through their representatives on the Committee and the Committee will rank and address them as it sees fit.

RESPONSIBILITY/ACCOUNTABILITY

The Committee will recommend policy on matters pertaining to all and act as a conduit of accurate information to the campus constituencies. Success breeds success, and its function as a source and transmission of information will in time become more secure. Communication flows in both directions and the representatives on the Committee must be watchful not to introduce personal static and other interference with the flow.
FEASIBILITY

As organizations go, universities have one of the longest traditions of success in the western world. The faculty does not favor disturbing those areas lacking a demonstrative need of repair. The Committee will achieve its greatest contribution to the improvement of campus governance by focussing on those areas needing attention.

TIMELINESS

Timeliness is defined by the function performed. To the extent that the intent is reaching a consensus on an issue campuswide, the matter is involved and reiterative and will consume what will appear to be countless hours. Our recent experience with the Strategic Plan is a good example of a task consonant with the time expended. On the other hand, a mere piece of information or the quelling of a rumor can be accomplished in the twinkling of an eye—if it emanates from the proper source. This gets us to the next section.

CONSULTATION & INVOLVEMENT

If the aforementioned categories are sincerely engaged, then consultation, involvement, and the next category, communication, will follow.

COMMUNICATION

Please see Consultation and Involvement above. Of the three, communication is the easiest and will occur by default if consultation and involvement are seriously pursued.
Proposed Amendments to Resolution in Support of the Charter Governance Committee Proposal for the Cal Poly Governance Council

Submitted by Sam Lutrin, Professional Consultative Services, October 24, 1995:

Whereas the establishment of the Cal Poly Governance Council constitutes a major change in the manner in which faculty are involved in University decision-making in that faculty will be represented by three members rather than 45 Academic Senators;

Resolved: That faculty representatives shall be selected from a field of all interested faculty by a vote of the Academic Senate, that said representatives shall report on Governance Council activities during each Senate meeting and that said representatives can be removed from the Council by a majority vote of the Academic Senate;
WHEREAS, Incoming students with advanced placement credits are already among the best students admitted to the University. Their intellectual growth should be further stimulated and encouraged; and

WHEREAS, It is common practice elsewhere in the California State University and University of California systems to provide students with specific course credit for advanced placement scores of 3 or higher; and

WHEREAS, The Visionary Pragmatism report recommends that the University should “award credit towards completion of the program for all standardized advanced placement credit earned by the student with a test score of 3 or higher;” therefore, be it

RESOLVED, That students shall receive specific course credit for all scores of 3 or above; and be it further

RESOLVED, That departments shall identify specific major and GE&B course credits, rather than “free electives,” for the AP exams relevant to their disciplines; and be it further

RESOLVED, That the Academic Senate Program Review and Improvement Committee will evaluate departments’ advanced placement policies during the course of their normal review process.

Proposed by the Academic Senate Instruction Committee
April 12, 1996
WHEREAS, C.A.M. section 481.B.1 states, “Whenever possible, the first day of instruction in each quarter will be Monday with a 48 day minimum per quarter (49 day minimum spring) and whenever possible the last day of instruction each quarter will be a Friday,” and

WHEREAS, In recent years, including 1996-1997, this stipulation has not been incorporated in the planning of the Academic Calendar, and

WHEREAS, Failure to start Winter quarter on a Monday results in three Monday holidays, which adversely affects scheduling and instruction; therefore, be it

RESOLVED, That C.A.M. 481.B.1 shall be revised as follows:

Instructional days—Whenever possible, the first day of instruction in each quarter will be Monday with a 48 day minimum per quarter (49 day minimum spring) and whenever possible the last day of instruction each quarter will be a Friday.

and be it further

RESOLVED, That C.A.M. 481.B.1. shall be given higher priority in planning the academic calendar than sections 481.A.2 (end Summer Quarter before Labor Day) and 481.A.5 (end Spring Quarter before the second weekend in June).

Proposed by the Academic Senate Instruction Committee
April 18, 1996
WHEREAS, Faculty hold positions of authority that involve the legitimate exercise of power over others; and

WHEREAS, Trust and respect are diminished when those in positions of authority abuse or appear to abuse their power; and

WHEREAS, The issue of appropriate and inappropriate relationships between students and faculty is very complex; and

WHEREAS, It is the responsibility of Cal Poly faculty to maintain the highest standards of professional ethics; and

WHEREAS, Cal Poly's Faculty Code of Ethics and the AAUP's Statement on Professional Ethics affirm that (1) professors adhere to their proper roles as intellectual guides and counselors, (2) they make every reasonable effort to assure that their evaluations of students reflect each student's true merit, and (3) they avoid any exploitation of students; therefore, be it

RESOLVED: That Cal Poly adopt the attached Policy on Amorous Relationships Between Students and Faculty or Instructional Staff Who Evaluate or Supervise Them.

Proposed by the Status of Women Committee
April 23, 1996
POLICY ON AMOROUS RELATIONSHIPS BETWEEN STUDENTS AND FACULTY OR INSTRUCTIONAL STAFF WHO EVALUATE OR SUPERVISE THEM

California Polytechnic State University, San Luis Obispo

April 23, 1996

I. RATIONALE FOR POLICY

The University's educational mission is promoted by professionalism in faculty-student relationships, and professionalism is fostered by an atmosphere of mutual trust and respect. Actions of faculty or other members of the instructional staff that undermine this professionalism jeopardize the University's ability to fulfill its educational mission. Trust and respect are diminished when those in positions of authority abuse or appear to abuse their power.

Faculty members and other instructional personnel exercise power over students, whether in giving them praise and criticism, evaluating their work, making recommendations for their further studies or future employment, or conferring other benefits on them. Because it may easily involve or appear to involve a conflict of interest, an amorous or sexual relationship between a faculty member or other member of the instructional staff and a student entails serious ethical concerns when the faculty or instructional staff member has professional responsibility for the student.

Voluntary consent by the student in such a relationship is difficult to determine with certainty, given the fundamentally asymmetric nature of the relationship. Because of the complex and subtle effects of that power differential, relationships may well be less consensual than the individual whose position confers power believes, and the faculty or instructional staff member bears a special burden of accountability in any such involvement.

Further, amorous or sexual relationships in which one person is in a position to review the work or influence the career of another may provide grounds for complaint by others outside the relationship when that relationship appears to give undue access or advantage to the individual involved in the relationship, or to restrict opportunities, or create a hostile and unacceptable environment for those outside the relationship. Other students and faculty may be affected by behavior that makes or appears to make obtaining benefits (such as advancing one student over others) contingent on amorous or sexual favors.

II. DEFINITIONS

As used in this Policy, the term "faculty member" or "instructional staff" means any member of the university community who engages in instructional or evaluative activities of any student who is enrolled in a course being taught by that individual or whose academic work, including work as a teaching or research assistant, is being supervised or evaluated by that individual. Graduate or
undergraduate students, when performing official University academic supervisory or evaluative roles with respect to other students, are considered instructional staff for the purposes of this Policy.

III. AMOROUS RELATIONSHIPS IN THE INSTRUCTIONAL CONTEXT

It is the policy of California Polytechnic State University, San Luis Obispo that faculty members or other instructional staff shall not initiate, pursue, or be involved in any amorous or sexual relationships (hereinafter referred to as amorous relationships) with any student whom they are in a position to evaluate or supervise by virtue of their teaching, research, or administrative responsibilities.

Friendships or mentoring relationships between faculty or instructional staff and students are not proscribed by this Policy, nor is it the intent of this Policy that such non-amorous relationships be discouraged or limited in any way.

Marital relationships are covered separately in the Campus Administrative Manual (Conflict of Interest - section 311.5).

IV. AMOROUS RELATIONSHIPS OUTSIDE THE INSTRUCTIONAL CONTEXT

Amorous relationships between faculty members or other members of the instructional staff and students occurring outside the instructional context may also lead to difficulties. Particularly when the individual and the student are in the same academic unit or in units that are academically allied, relationships that the involved parties view as consensual may be disruptive to unit activities and appear to others to be exploitative. Further, in these and other situations, the faculty or instructional staff member may face serious conflicts of interest. In any such situation, therefore, faculty or instructional staff members should be most careful to remove themselves from involvement with any decisions that may reward or penalize the student.

V. PROCESS AND SANCTIONS

Because of the sensitive nature of such relationships, every reasonable effort should be made to resolve alleged Policy violations on an informal basis if possible. Concerns about problems related to this Policy may be taken to the administrative official most directly involved, excluding the person alleged to have violated this Policy, or to one of the individuals listed below in Section VIII.

Any remedial actions taken through informal procedures by the administrative official most directly concerned, excluding the person alleged to have violated this Policy, will depend on the totality of the circumstances. Efforts should be made to be constructively educational and to be corrective rather than punitive if a Policy violation is found: an acknowledgment of the violation and a commitment not to violate the Policy in the future, along with a warning or other appropriate action directed toward the faculty or other instructional staff member, may be sufficient resolution. In cases where further action is deemed appropriate, sanctions may range
from a letter of reprimand to dismissal, all in accordance with applicable University procedures.

VI. APPEALS

If not satisfied with the administrative official's decision, the faculty member or other member of the instructional staff accused of a Policy violation may proceed, in accordance with established procedures, to the grievance or hearings committees to which he or she otherwise has access.

VII. ABUSE OF THIS POLICY

Complaints found to have been intentionally dishonest or made in willful disregard of the truth may subject the complainant to disciplinary action, with possible sanctions ranging from a letter of reprimand to dismissal.

VIII. RESOURCES FOR ASSISTANCE AND INFORMATION

Questions concerning this Policy may be addressed to the University's Director of Affirmative Action (756-2062), Women’s Program/Student Life and Activities (756-2476), the Sexual Harassment Advisors (names and numbers are available from Director of Affirmative Action), the Vice President of Student Affairs (756-1521), and the Vice President of Academic Affairs (756-2186).

Copies of the Policy are available from Department Chairs and from the offices listed above. These offices are also prepared to help people understand what the Policy means and what options for resolution are available if they believe they have experienced a problem related to this Policy in connection with their academic study or work at the University.

IX. This policy is effective on and after June 1, 1996.
Adopted:

ACADEMIC SENATE
OF
CALIFORNIA POLYTECHNIC STATE UNIVERSITY
San Luis Obispo, California

AS-96/
RESOLUTION ON ALLOCATION OF CAL POLY FUNDS

WHEREAS, Current State funding does not provide sufficient funds to maintain the quality of education at Cal Poly while allocating the budget as it has been done in the past; and

WHEREAS, Cal Poly will have a new source of additional funding, should the Cal Poly Plan concept be adopted; and

WHEREAS, The Cal Poly Plan and the Cal Poly Strategic Plan identify the mission, objectives, and goals for maintaining quality education at Cal Poly into the 21st century; therefore, be it

RESOLVED: That the Cal Poly community of students, faculty, staff, and administration should work diligently to achieve those goals and accomplish those objectives; and, be it further

RESOLVED: That the allocation of Cal Poly funds should be explicitly based on those goals and objectives; and, be it further

RESOLVED: That measures for the assessment of the ability of programs to meet the goals and objectives be in place before funds are allocated to those programs; and, be it further

RESOLVED: That all funded programs be given an adequate base support over a reasonable period of time to obtain their objectives; and, be it further

RESOLVED: That the University community work together in an interdisciplinary spirit to determine those areas which will receive additional funding above the base support; and, be it further

RESOLVED: That those areas receiving funding above the base support level be given sufficient funding to allow them to make significant progress toward meeting their goals; and, be it further

RESOLVED: That those programs receiving additional funding share the information learned from their experiences with the rest of the University community; and, be it further

RESOLVED: That the Academic Senate or its designee(s) participate in the development of the budget policies and of budget models, and have continuing input into the distribution of the Academic Affairs' budget.

Proposed by the Budget Committee
April 30, 1996
WHEREAS, Broad dissemination of information concerning campus planning is essential; and
WHEREAS, Timely dissemination of information concerning campus planning is essential; and
WHEREAS, Broad campus input into campus planning is essential; therefore, be it
RESOLVED: That the Academic Senate representation on the Campus Planning Committee be increased from one to two representatives; and, be it further
RESOLVED: That the agenda of the Campus Planning Committee be posted at least seven days in advance of any meeting of the Campus Planning Committee both electronically and at specified locations on the campus; and, be it further
RESOLVED: That the current Five Year Capital Outlay Program be available in the University Library; and, be it further
RESOLVED: That monthly reports be made available in the University Library on the status of major capital outlay projects in progress; and, be it further
RESOLVED: That CEQA documents associated with projects in progress be made available in the University Library; and, be it further
RESOLVED: That discussions of proposed campus projects be at the earliest formative stage when presented to the Campus Planning Committee; and, be it further
RESOLVED: That provisions be made for conducting open forums on campus planning issues upon request from members of the campus community; and, be it further
RESOLVED: That a yearly report be made by the Campus Planning Committee to the Academic Senate regarding major outlay projects.

Proposed by the Academic Senate Executive Committee
April 30, 1996