WHEREAS, The resource allocation process should be an open and formal process; and

WHEREAS, The faculty, staff, and students of the university should be permitted input into the budgetary process prior to the approval of the allocation of the university budget; therefore, be it

RESOLVED: That the President's Advisory Committee on Budgets and Resource Allocation shall be charged with recommending to the President allocation of resources to university program areas; and be it further

RESOLVED: That the President's Advisory Committee on Budgets and Resource Allocation shall be charged with recommending to the President policies and procedures to implement this allocation process; and be it further

RESOLVED: That no allocation requests shall be submitted to the President without previously having been submitted to the President's Advisory Committee on Budgets and Resource Allocation; and be it further

RESOLVED: That the allocation process shall strive to allow sufficient time for consultation with the Deans' Council, the Academic Senate, and the ASI; and be it further

RESOLVED: That periodic reports of the President's Advisory Committee on Budgets and Resource Allocation shall be made available to the President's Council, the Deans' Council, the Academic Senate, and the ASI; and be it further

RESOLVED: That agenda, minutes, and copies of full recommendations of the President's Advisory Committee on Budgets and Resource Allocation be provided to the Chair of the Academic Senate and to the members of the Academic Senate Budget Committee concurrently with the members of the President's Advisory Committee on Budgets and Resource Allocation; and be it further

RESOLVED: That the membership of the President's Advisory Committee on Budgets and Resource Allocation shall consist of:

Vice President, Academic Affairs
Vice President, Business Affairs (Chair)
Vice President, Information Systems
Chair, Academic Senate or designee
Dean of Student Affairs
Executive Dean, Facilities Administration
President, Associated Students Incorporated (ASI) or designee

Staff support will be provided by the Associate Vice President for Academic Resources, the Budget Office, the Associate Dean of Student Affairs, and other personnel as might be required.

Proposed By:
Budget Committee
February 17, 1987
RESOLUTIONS CONCERNING THE BUDGETARY PROCESS

BACKGROUND STATEMENT

In a memorandum dated December 13, 1984, the Chancellor transmitted the Board of Trustee's policy that committees which include faculty and students should exist to advise the President on budget policy, planning, and resource allocation. The memorandum stated the policy in the following way. "Insure that committees which include students, faculty, and any other appropriate constituency exist at each of the campuses and at the system level to advise the Presidents and Chancellor, respectively, on budget policy, planning and resource allocation." This policy involved the total budget of the campus as well as the resource allocations of all programs.

For several years the Budget Committee of the Academic Senate has tried to develop a formal procedure by which faculty input into the budgeting process at Cal Poly could be achieved. The resolutions on the budgetary process, that comprise this package, are the outgrowth of over a year and a half of meetings of a Budgetary Process Subcommittee of the Academic Senate Budget Committee, which included a representative from the Academic Affairs Office. The resolutions concerning the Budget Process and Instructional Program Resources are presented for approval by the Academic Senate. This approval would constitute an endorsement of two committees, The President's Advisory Committee on Budgets and Resource Allocation, and the Advisory Committee on Instructional Resources, that have already been created, are functioning, and have Academic Senate representation.

Attached to this resolution package is a flowchart showing how the various campus entities and newly proposed committees would fit into the University's organizational structure. The role of the Academic Senate Budget Committee, as presented in the bylaws, would remain essentially unchanged. It would still be primarily a policy body involved in providing input where there are proposed changes in allocation models, and in making sure that budget information concerning allocations and expenditures made by schools and departmentally is made available to the faculty.

It is the belief of the Academic Senate Budget Committee that integrally related to the issue of resource allocation are the twin concepts of Long Range Planning and Program Evaluation. Because of this belief, two additional resolutions dealing with Long Range Planning and Program Evaluation, directed to the Long Range Planning Committee for action, are submitted as part of this package.

Attachment to Academic Senate Resolutions AS-241-87/BC, AS-242-87/BC, AS-243-87/BC, and AS-244-87/BC.
Attachment to Academic Senate Resolutions AS-241-87/BC, AS-242-87/BC, AS-243-87/BC, and AS-244-87/BC.
Memorandum

To: Lloyd Lamouria, Chair
   Academic Senate

From: Warren J. Bauer
      President

Subject: ACADEMIC SENATE RESOLUTION RELATING TO THE BUDGET PROCESS, LONG-RANGE PLANNING, AND PROGRAM EVALUATION

On March 11 you forwarded to me four resolutions adopted by the Academic Senate, all of which were interrelated and were proposed by the Budget Committee of the Academic Senate as it reviewed the budgetary process and the ways in which the process could be made more effective.

The four resolutions were:

   AS-241-87/BC on the Budget Process
   AS-242-87/BC on Instructional Program Resources
   AS-243-87/BC on Long-Range Planning, and
   AS-244-87/BC on Program Evaluation

The first two resolutions called for the establishment of the President's Advisory Committee on Budgets and Resource Allocation with specified membership and the establishment of an Advisory Committee on Instructional Program Resources with specified membership. As you are aware, these two committees with the same membership structure as recommended by the Academic Senate were established in the fall of last year and are in fact in operation.

As I understand the thrust of the resolution on Long-Range Planning and the resolution on Program Evaluation, the Academic Senate's Long-Range Planning Committee would be charged with responsibility of recommending policies and procedures for implementation of a long-range planning process that links planning with resource allocation and policies and procedures for an evaluation process which links program evaluation with planning. I trust that as the Academic Senate Long-Range Planning Committee proceeds with the development of proposed policies, procedures, and processes on these two areas that it will work closely with the Vice President of Academic Affairs and the Academic Affairs staff. I look forward to receiving the recommendations of the Academic Senate in this area when the Long-range Planning Committee has completed its activity.
Date: March 11, 1987

To: Warren J. Baker, President

From: Lloyd H. Lamouria, Chair

Subject: Proceedings of the Academic Senate, March 10, 1987

Resolution on the Budget Process (AS-241-87/BC)

The above-referenced resolution was adopted unanimously by the Academic Senate on March 10, 1987 and is herewith forwarded for your consideration and approval.

This resolution is one of a set of four on the budgetary process which sets forth a unified procedure between the Academic Senate Budget Committee, Long-Range Planning Committee, and Instructional Program Resources by which faculty input into the budget process can be achieved. The other three resolutions are:

Resolution on Instructional Program Resources (AS-242-87/BC),
Resolution on Long-Range Planning (AS-243-87/BC), and
Resolution on Program Evaluation (AS-244-87/BC)

Attachment