WHEREAS, Lottery funds should be supplemental to normal budgeted educational activities; and

WHEREAS, Allocation of funds at School/Departmental levels should be flexible; therefore, be it

RESOLVED: That the Academic Senate urge President Warren J. Baker, to support the following seven, non-prioritized uses of lottery funds:

1. Center (non-specified) or Centers of Excellence for Undergraduate and/or Graduate Studies
2. Endowments
3. Graduate Program Development and Implementation
4. Learning Assistance Activities
5. Professional Development
6. Staff Enrichment
7. Teaching Program Enrichment;

and be it further

RESOLVED: That the process and procedures for the allocation of lottery funds received by California Polytechnic State University shall be determined by the Academic Senate.

Proposed by:
Ad Hoc Committee on Use of Lottery Funds
February 4, 1986
Revised April 8, 1986
BACKGROUND STATEMENT

Background:

Following a request from Vice Chancellor Dale Hanner for campus counsel on lottery funds, the Ad Hoc Committee on the Use of Lottery Funds was established by the Academic Senate Chair.

Guidelines Used in Preparing Report:

The possible uses of lottery funds were developed (insofar as was feasible), consistent with:

- President Baker's October 10, 1985 address "Cal Poly and California in the Next Decade"

- The Trustees' Statement on Collegiality, dated September 18, 1985

- Restrictions outlined by Vice Chancellor Hanner in his December 12, 1985 letter to CSU presidents, particularly that the Lottery Act declares it is the intent "that the net revenues of the California State Lottery shall not be used as substitute funds but rather shall supplement the total amount of money allocated for public education in California...." and that "...all funds allocated from the California State Lottery Education Fund shall be used exclusively for the education of pupils and students and no funds shall be spent for acquisition of real property, construction of facilities, financing of research or any other non-instructional purpose...."

The Committee was guided but not bound by limitations on uses which were called to the attention of the Committee. The reason for this approach was that in order to achieve goal attainment, it may be necessary to strongly support needs which are outside of announced guidelines.

General Statements and Recommendations:

Allocation of funds at School/Departmental levels should be flexible and administered within the guidelines of this document.

The review process for allocation should be ongoing to ensure that funds are utilized according to stated guidelines. Faculty participation in the review process is essential.

The issue of campus procedures for allocation needs to be addressed. The Committee feels that this may be even more important than developing a list of possible uses of lottery funds. This task may be most suitable for the Academic Senate Budget Committee.

Consideration should be given to setting lottery money aside in an endowment fund until procedures are developed on each campus as to where to allocate the money and what the procedures for allocation will be.

The list of possible uses of lottery funds presented is not all inclusive and should be subject to review and change.
USE OF LOTTERY FUNDS
LIST OF POSSIBLE USES

1. Center (non-specified) or Centers of Excellence for Undergraduate and/or Graduate Studies

2. Endowments
   Professional Chairs
   Visiting Lecturers
   Sponsored Symposia
   Women's Center

3. Graduate Program Development and Implementation
   Teaching Assistantships
   Research Assistantships
   Graduate Thesis Project Support

4. Learning Assistance Activities
   Peer Tutoring Support
   Computer Assisted Information Retrieval
   Funding for Diagnostic and Placement Testing through the Testing Center

5. Professional Development
   Teaching Methodology Improvement
   In-State and Out-of-State Travel to Attend Seminars and for Presentation of Papers
   Conference Fees
   Information Transfer
     Preparation and Publication of Papers
     Information Retrieval

6. Staff Enrichment
   Substitute Teachers
   Release Time
     Instructionally Related
     Teaching Advancement
     University Enhancement
   Additional Staffing to Keep Class Size Down
   Compensation for Overload Teaching

7. Teaching Program Enrichment
   Student Assistants
   Field Trip Support
   Senior Project Support
   Internships
     Outreach
     Supervision
   Cooperative Education
     Outreach
     Supervision
   Instructional Materials Production and Acquisition
Memorandum

To: Lloyd H. Lamouria, Chair
    Academic Senate

From: Warren J. Baker
    President

Subject: RESOLUTION ON USE OF LOTTERY FUNDS (AS-202-86)

This will acknowledge your September 29 memo in which you raised a question on the action with regard to the Academic Senate resolution adopted in April 1986 relative to the use of lottery funds.

I have not previously responded formally to this resolution, Lloyd, primarily because the program areas which the resolution called for me to support were considered and included as a part of the University's response to the request from the Chancellor's Office requesting proposals for consideration last spring. Jim Landreth shared with the Budget Committee of the Academic Senate, and I believe with you at that time, the materials which were submitted to the Chancellor's Office by the University. It was my assumption that knowing these materials were included would suffice as a response at that particular time.

I would comment additionally, however, with regard to the final resolved clause in that resolution which called for the processes and procedures for the allocation of lottery funds received by the University to be determined by the Academic Senate. I trust that as a result of the discussions of the Committee on Committees during the summer, the action taken at that time to restructure the President's Council and to establish the President's Council Advisory Committee on Resources and Allocation, along with the discussion at the President's Council meeting on Monday regarding the manner in which lottery funds were to be handled, that the issues of processes and procedures for allocation of lottery funds at the campus level has been resolved.