Corralling Copyright: IR Workflows and Tools

Marisa Ramírez
Digital Repository Librarian
mramir14@calpoly.edu

Michele Wyngard
Digital Repository Assistant
mwyngard@calpoly.edu

Download presentation: http://digitalcommons.calpoly.edu/lib_fac/75

This content can be reused under the terms specified by the Creative Commons Attribution-NonCommercial-NoDerivs 3.0 Unported License.
Challenges to IR Workflows

- Potential IR content is copyrighted
- Copyright clearance is a ‘significant complicating factor’ in populating IRs
- Few formalized practices for populating repositories
- Many publishers are ill-equipped when dealing with requests
  - Slow response time to author rights questions, overly aggressive licensing, unclear terms of licensing and poor record-keeping
Research Questions

• What copyright clearance models are IRs following?

• What common tools/approaches are employed in the copyright clearance workflows?

• How are repositories recording/sharing the information they collect?

About the Respondents

• 15% response rate from OpenDOAR
• Majority (70%) engaged in copyright clearance activities
• Typical respondent:
  • US/UK
  • ~19K full time university enrollment
  • DSpace, Eprints, & DigitalCommons
  • IR operational 4 years
  • ~7,000 items in IR
Results - Copyright Clearance Models

- Majority of respondents engaged in mediated deposit model
  - Deposit on behalf of author
  - Combo of author self-deposit & deposit on behalf
- Only Aussie & European IRs reported self-deposit
- Librarians and library staff commonly engaged in copyright clearance for IRs
  - (even in self-deposit models!)
- Legal counsel was the least involved with clearance activities
Results – Tools & Methods

- Sherpa/RoMEO, Publishers’ website, review of CTAs

- Information gaps: breadth of info including publisher policies, deposit version allowed, CTAs
Results – Recordkeeping & Sharing

- 88.3% respondents directly contact publishers for permissions
- Use email and/or print hardcopies for recordkeeping
- Sharing of this information is “ad hoc” at best
- Time, expertise, staffing, legal liability and internal workflows are barriers to broader sharing
Cal Poly Profile

• Member, *California State University* system

• Located on the Central Coast

• Master's institution

• 19,000+ students, primarily undergraduate

• 630 tenured/tenure-track faculty

• “Learn By Doing” comprehensive polytechnic curriculum: *Engineering*, *Architecture*, *Science*, *Agriculture*, *Business*, *Liberal Arts*
Cal Poly IR Snapshot

- DigitalCommons@CalPoly
  http://digitalcommons.calpoly.edu
- Launched Fall 2008, 2 FT staff
- 15,000 + items
- Provide service to 50% (~300) tenured/tt faculty
- 1.7 million downloads
- Visits from over 129 countries including China, India, Japan, Brazil and Mexico
- Broad assortment of content from faculty, students & campus units
Corralling Copyright: Cal Poly’s Process
Record Management Overview

Two Categories of Records:

1. Faculty
   - Citations, correspondence
   - Format: mostly paper-based

2. Publisher
   - Publisher policies, correspondence
   - Format: computer-based
   - Searchable via Windows Explorer
Workflow Overview

1. Receive citations
2. Create folders and add to queue
3. Determine eligible citations
4. Locate digital copies
5. Clear copyright
6. Perform ADA/OCR & upload
7. Perform QA and post
Step 2: Creating Physical Folder

1. CORRESPONDENCE WITH PUBLISHERS
2. PERMISSIONS AND DENIALS
3. PUBLISHER POLICIES AS FOUND ON WEBSITE

1. FACULTY CURRICULUM VITAE
2. ADDITIONAL CITATIONS
3. DIGITALCOMMONS@CALPOLY FORM
4. CORRESPONDENCE FROM AUTHOR
Workflow Overview

1. Receive citations
2. Create folders and add to queue
3. Determine eligible citations
4. Locate digital copies
5. Clear copyright
6. Perform ADA/OCR & upload
7. Perform QA and post
Step 5: Clearing Copyright

Determine who owns copyright

1. Sherpa/RoMEO
2. Publisher website
3. Publisher via email

Determine copyright policies

1. In-house records
2. Sherpa/RoMEO
3. Publisher website
4. Publisher via email or online form
Step 5: Clearing Copyright

Determine who owns copyright

Yes, deposit in IR is allowed

Determine copyright policies

No, deposit in IR is not allowed

Policy unknown

Request permission

No response

No response


Determine Who Owns Copyright


Determine Copyright Policies


*American Society of Combinatorics - Reg. 6.17.11
7.5.11
Granted 8.1.11*
Tracking Publisher Policies

PublisherEmails
- Publisher_LastNameFirstName_YEAR-M-D.pdf
- AmericanSocietyCombinatorics_SmithJ_2012-4-1.pdf

PublisherPolicies
- Publisher_YEAR_NameOfDocument.pdf
- AcademyOfManagement_2012_CopyrightTransferAgreement.pdf
The Good, the Bad & the Ugly

**PROS**
- Simple
- Free
- Incorporated into workflow
- No outside support needed
- Not managing a copyright repository
- Pedagogical tool

**CONS**
- No automated data analysis
- Changes are cumbersome
- Need to search several sources
- Other shortcomings
Jumpstart Your Process!

• How does IR service model & campus expectations impact the resources devoted to copyright clearance?

• What types of records will you keep?

• Why are certain records kept?  (Evidence, pedagogical tool, etc.)

• Who needs access & how to provide access?

• What meta-analyses or stats will you want?

• Who in your organization is best-suited to do this type of work?  (Organized, focused, diligent, problem-solver & troubleshooter)
Contact

Marisa Ramírez
Digital Repository Librarian
mramir14@calpoly.edu

Michele Wyngard
Digital Repository Assistant
mwyngard@calpoly.edu

Robert E. Kennedy Library

This content can be reused under the terms specified by the Creative Commons Attribution-NonCommercial-NoDerivs 3.0 Unported License.