

Corralling Copyright: IR Workflows and Tools



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Download presentation: http://digitalcommons.calpoly.edu/lib_fac/75

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Challenges to IR Workflows

- Potential IR content is copyrighted
- Copyright clearance is a ‘significant complicating factor’ in populating IRs
- Few formalized practices for populating repositories
- Many publishers are ill-equipped when dealing with requests
 - Slow response time to author rights questions, overly aggressive licensing, unclear terms of licensing and poor record-keeping

Research Questions

- What copyright clearance models are IRs following?
- What common tools/approaches are employed in the copyright clearance workflows?
- How are repositories recording/sharing the information they collect?

Hanlon, A. and Ramirez, M. (2011). "Asking for Permission: A Survey of Copyright Workflows for Institutional Repositories." portal: Libraries and the Academy, 11 (2). http://digitalcommons.calpoly.edu/lib_fac/68

About the Respondents

- 15% response rate from OpenDOAR
- Majority (70%) engaged in copyright clearance activities
- Typical respondent:
 - US/UK
 - ~19K full time university enrollment
 - DSpace, Eprints, & DigitalCommons
 - IR operational 4 years
 - ~7,000 items in IR

Results - Copyright Clearance Models

- Majority of respondents engaged in mediated deposit model
 - Deposit on behalf of author
 - Combo of author self-deposit & deposit on behalf
- Only Aussie & European IRs reported self-deposit
- Librarians and library staff commonly engaged in copyright clearance for IRs
 - (even in self-deposit models!)
- Legal counsel was the least involved with clearance activities

Results – Tools & Methods

- Sherpa/RoMEO, Publishers' website, review of CTAs



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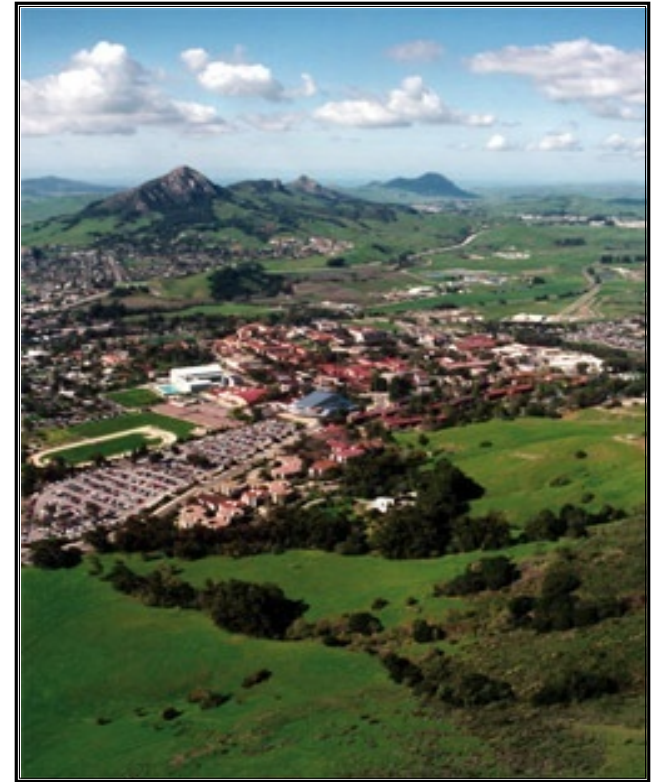
- Information gaps: breadth of info including publisher policies, deposit version allowed, CTAs

Results – Recordkeeping & Sharing

- 88.3% respondents directly contact publishers for permissions
- Use email and/or print hardcopies for recordkeeping
- Sharing of this information is “ad hoc” at best
- Time, expertise, staffing, legal liability and internal workflows are barriers to broader sharing

Cal Poly Profile

- Member, ***California State University*** system
- Located on the Central Coast
- Master's institution
- 19,000+ students, primarily undergraduate
- 630 tenured/tenure-track faculty
- ***“Learn By Doing”*** comprehensive polytechnic curriculum: *Engineering, Architecture, Science, Agriculture, Business, Liberal Arts*



Cal Poly IR Snapshot

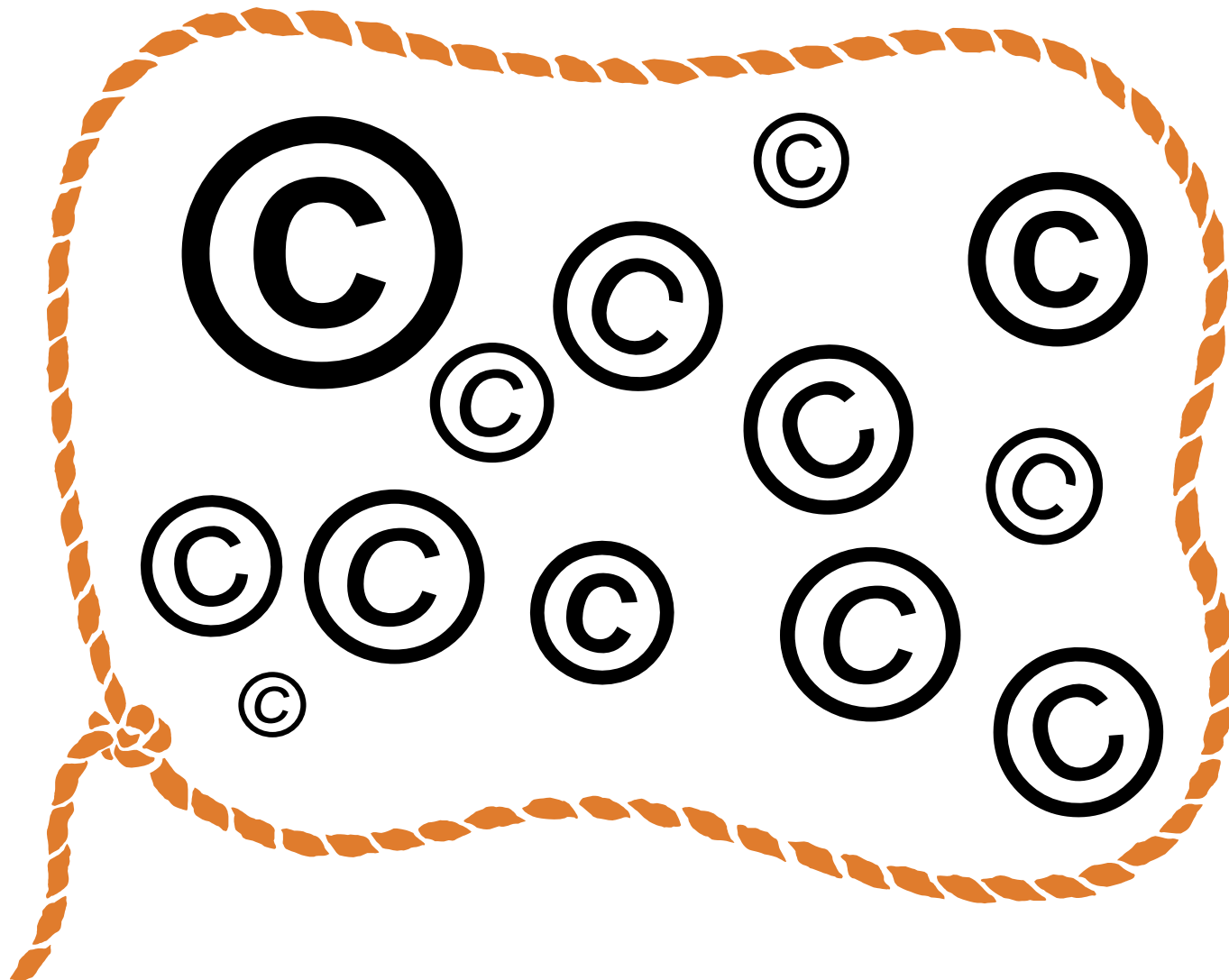
- **DigitalCommons@CalPoly**

<http://digitalcommons.calpoly.edu>



- Launched **Fall 2008**, **2 FT staff**
- **15,000 +** items
- Provide service to **50% (~300)** tenured/tt faculty
- **1.7 million** downloads
- Visits from over **129** countries including China, India, Japan, Brazil and Mexico
- Broad assortment of content from faculty, students & campus units

Corralling Copyright: Cal Poly's Process



Record Management Overview

Two Categories of Records:

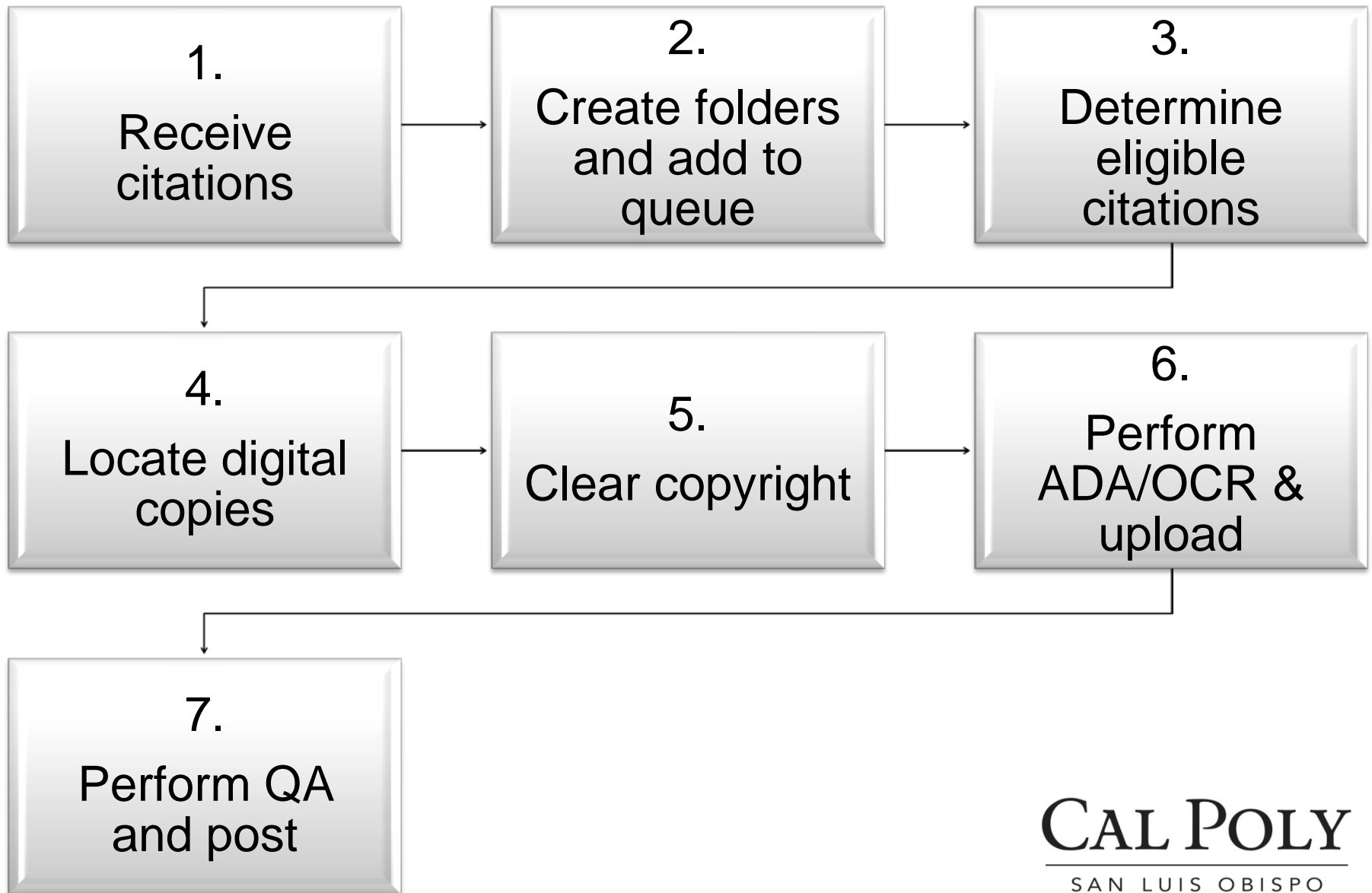
1. Faculty

- Citations, correspondence
- Format: mostly paper-based

2. Publisher

- Publisher policies, correspondence
- Format: computer-based
- Searchable via Windows Explorer

Workflow Overview



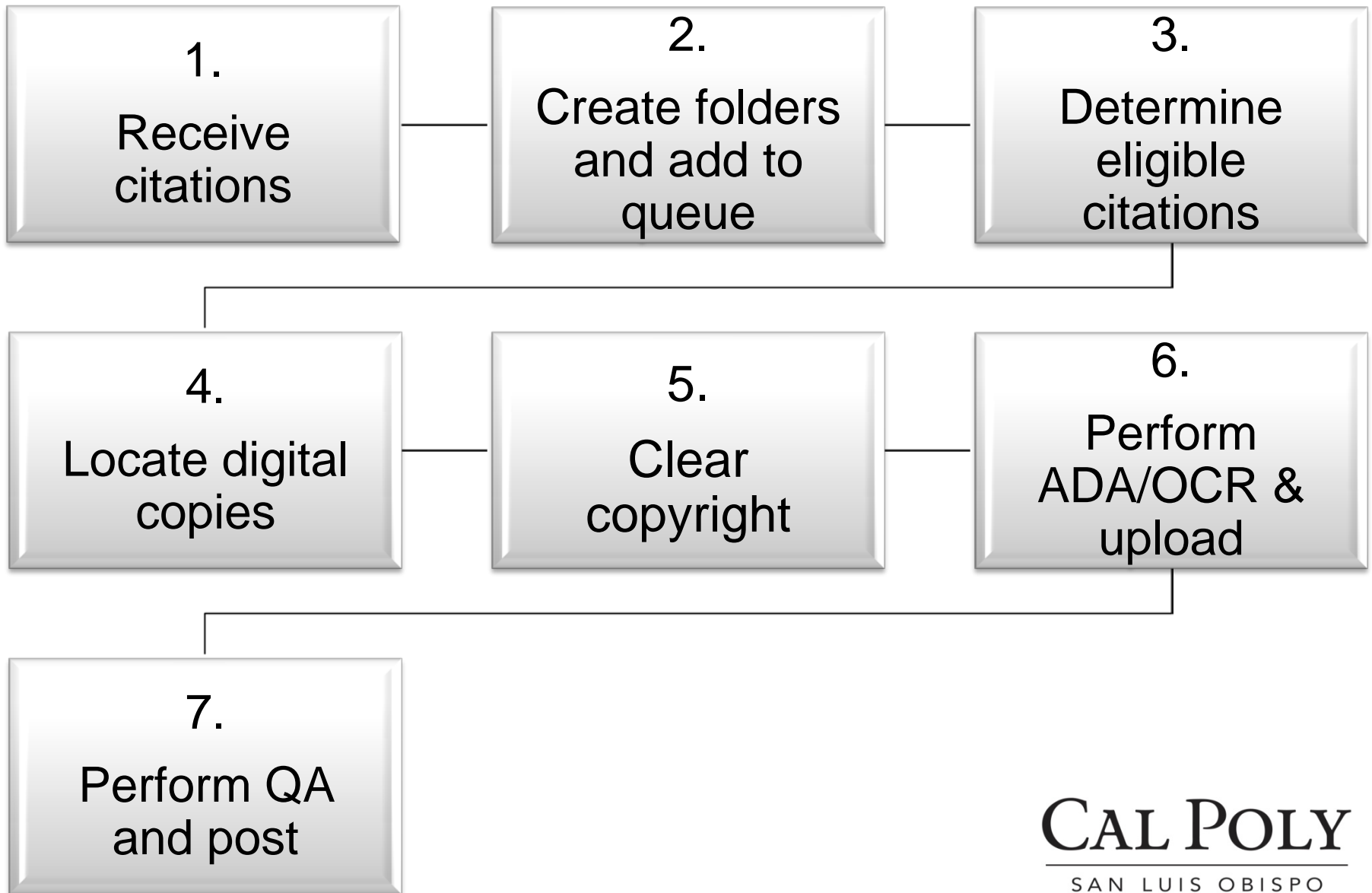
Step 2: Creating Physical Folder

1. CORRESPONDENCE WITH PUBLISHERS
2. PERMISSIONS AND DENIALS
3. PUBLISHER POLICIES AS FOUND ON WEBSITE

1. FACULTY CURRICULUM VITAE
2. ADDITIONAL CITATIONS
3. DIGITALCOMMONS@CALPOLY FORM
4. CORRESPONDENCE FROM AUTHOR

Last Name, First
Department

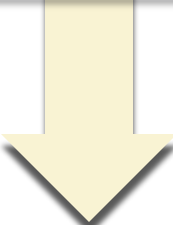
Workflow Overview



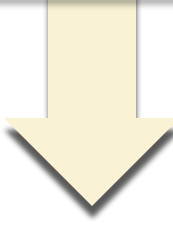


Step 5: Clearing Copyright

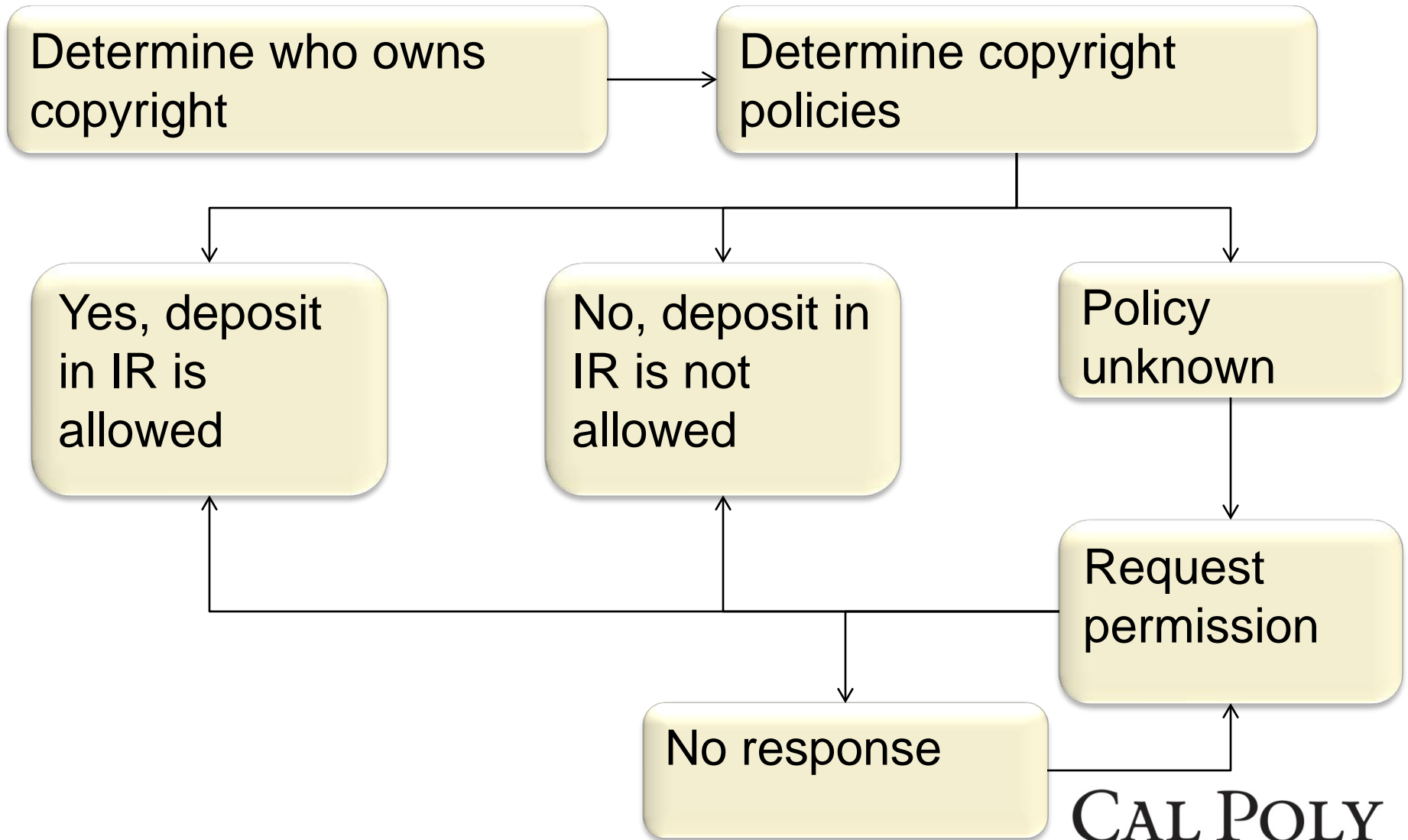
Determine who owns copyright

- 
1. Sherpa/RoMEO
 2. Publisher website
 3. Publisher via email

Determine copyright policies

- 
1. In-house records
 2. Sherpa/RoMEO
 3. Publisher website
 4. Publisher via email or online form

Step 5: Clearing Copyright



Starting citations

17. On the metamorphosis of Vandermonde's identity, *Mathematics Magazine* Vol. 78 No. 3 (2005) 232-238 (co-authored with L. Sze).
18. The expected variation of random bounded integer sequences of finite length, *International Journal of Mathematics and Mathematical Sciences* Vol. 2005 No. 14 (2005) 2277-2286 (co-authored with R. Angeles, M. Tiefenbruck, and L. Sze)
19. On the reliability of an n -component system, *Stochastic Models* 22 (2006) 333-339 (co-authored with L. Sze).
20. The q -exponential generating function for permutations by consecutive patterns and inversions, *Journal of Combinatorial Theory Series A* 114 (2007) 184-193.
21. Segmented patterns: From permutations to column-convex polyominoes and back, *Electronic Journal of Combinatorics* 17 (2010), #R62 (with M. Tiefenbruck as a co-author).

Determine eligible citations & locate PDFs

ILL

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pdf

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Determine Who Owns Copyright

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American Society of Combinatorics

Determine Copyright Policies

- 1LL X 17. On the metamorphosis of Vandermonde's identity, *Mathematics Magazine* Vol. 78 No. 3 (2005) 232-238 (co-authored with L. Sze).
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American Society of Combinatorics - Reg. 6.17.11

Final Steps

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American Society of Combinatorics - Reg. 6.17.11
7.5.11

Granted 8.1.11

Tracking Publisher Policies



PublisherEmails



Publisher_LastNameFirstI_YEAR-M-D.pdf



AmericanSocietyCombinatorics_SmithJ_2012-4-1.pdf



PublisherPolicies



Publisher_YEAR_NameOfDocument.pdf



AcademyOfManagement_2012_CopyrightTransfer Agreement.pdf

The Good, the Bad & the Ugly

PROS

- Simple
- Free
- Incorporated into workflow
- No outside support needed
- Not managing a copyright repository
- Pedagogical tool

CONS

- No automated data analysis
- Changes are cumbersome
- Need to search several sources
- Other shortcomings



Jumpstart Your Process!

- How does IR service model & campus expectations impact the resources devoted to copyright clearance?
- What types of records will you keep?
- Why are certain records kept? (Evidence, pedagogical tool, etc.)
- Who needs access & how to provide access?
- What meta-analyses or stats will you want?
- Who in your organization is best-suited to do this type of work? (Organized, focused, diligent, problem-solver & troubleshooter)

Contact



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Robert E. Kennedy Library

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