

A Generalized Mahonian Statistic on Absorption Ring Mappings

Don Rawlings

Based on a coin-tossing scheme, a generalized Mahonian statistic is defined on absorption ring mappings and applied in obtaining combinatorial interpretations of the coefficient of q^j in the expansion of $\prod_{i=1}^k (1 + q + q^2 + \cdots + q^m)$. In the permutation case, the statistic coincides with one studied by Han that specializes many known Mahonian statistics.

1. INTRODUCTION

Let S_n be the symmetric group on $\{1, 2, \dots, n\}$. The *inversion number* and the *major index* of a permutation $\sigma = \sigma(1) \sigma(2) \cdots \sigma(n) \in S_n$ are defined as

$$\text{inv } \sigma = \# \{(i, j): 1 \leq i < j \leq n, \sigma(i) > \sigma(j)\} \quad \text{and} \quad \text{maj } \sigma = \sum i,$$

where $\#A$ denotes the cardinality of set A and the sum is over the *descent set* $\{i: 1 \leq i < n, \sigma(i) > \sigma(i+1)\}$ of σ . It is well known that

$$\sum_{\sigma \in S_n} q^{\text{inv } \sigma} = [n]! = \sum_{\sigma \in S_n} q^{\text{maj } \sigma} \quad (1)$$

where $[i] = (1 + q + q^2 + \cdots + q^{i-1})$ and $[n]! = [1][2] \cdots [n]$ are the q -*analog* of i and the q -*factorial* of n , respectively. The first equality in (1) is due to Rodriguez [23]. MacMahon [14, 15] obtained a result more general than (1).

A statistic $s: S_n \rightarrow \{1, 2, \dots, n(n-1)/2\}$ is said to be *Mahonian* if $\sum_{\sigma \in S_n} q^{s(\sigma)} = [n]!$. Besides *inv* and *maj*, many new Mahonian statistics have recently been discovered (see Foata and Zeilberger [6], Galovich and White [7], Han [8, 9], Kadell [10], Liang and Wachs [12], Rawlings [17], and Zeilberger and Bressoud [24]).

Using a scheme based on Bernoulli trials, a generalized Mahonian statistic is herein defined on a set of functions called *absorption ring mappings*.

The coin-tossing scheme, dubbed the *absorption ring process*, is as follows. A ring of n cells, one distinguished from the rest, is said to be an *absorption ring* of length n :

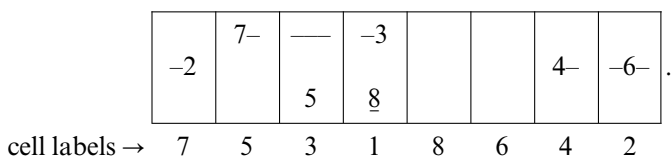


EXAMPLE 1

For convenience, the ring is laid out as a strip with the distinguished cell at the extreme left. The leftmost and rightmost cells are to be viewed as being attached at their outer edges. Also, in a one-to-one manner, each cell is assigned a label from $\{1, 2, \dots, n\}$. Above, the cells are labeled according to the permutation $l = 7\ 5\ 3\ 1\ 8\ 6\ 4\ 2 \in S_8$. The arrow in cell 1 indicates the direction in which the ring is to be *traversed*.

A sequence of $j \geq 1$ distinct integers is said to be a j -particle. A 0 -particle is an underlined integer. For instance, $\underline{4}$ - $\underline{6}$ - $\underline{2}$ is a 3-particle and $\underline{3}$ is a 0-particle. For integers $j_1, j_2, \dots, j_k \geq 0$, let $\mathbf{J} = (J_1, J_2, \dots, J_k)$ denote a fixed k -tuple of particles where J_v is a j_v -particle and no integer appears in more than one particle. The integers appearing in such tuples will be restricted to the set $\{1, 2, \dots, n\}$. Thus, we require that $j_1 + j_2 + \dots + j_k \leq n$.

The absorption ring process of *type* \mathbf{J} begins by inserting J_1 into j_1 cells, one integer per cell, according to a *placement rule* Pl. A coin with probability $q < 1$ of landing tails up is then tossed until heads occurs. For each tails, J_1 moves one cell in the direction in which the ring is traversed. The particle J_1 comes to rest (is *absorbed*) when a head occurs. For $2 \leq v \leq n$, J_v is similarly propelled into the ring as if the cells occupied by integers belonging to J_1, J_2, \dots, J_{v-1} had been removed. Underlined integers are viewed as “not occupying space” and cells in which only they appear are not removed from consideration. For instance, suppose $n = 8$, $\mathbf{J} = (\underline{4}$ - $\underline{6}$ - $\underline{2}$, $\underline{5}$, $\underline{8}$, $\underline{7}$ - $\underline{3}$), and that J_v is initially placed in the leftmost j_v empty cells for $1 \leq v \leq 4$. If the sequences of Bernoulli trials for the four particles are TTTTTHTHTHTTTTH (written as a single sequence), then the outcome is



EXAMPLE 2

Note that $\underline{7}$ - $\underline{3}$ traces out one *orbit* before coming to rest.

In increasing order, let $\underline{i_1}, \underline{i_2}, \dots, \underline{i_m}$ be the integers (underlined or not) in \mathbf{J} . The outcome may be encoded as a function $f: \{\underline{i_1}, \underline{i_2}, \dots, \underline{i_m}\} \rightarrow \{1, 2, \dots, n\}$; if $\underline{i_k}$ is absorbed in cell c_k , then define $f(\underline{i_k}) = c_k$. Referred to as an *absorption ring mapping*, f will be represented by the list $f(\underline{i_1}) f(\underline{i_2}) \cdots f(\underline{i_m})$ of its range values. The set of such mappings is denoted by $AR_n(\mathbf{J})$. In Example 2, $\{\underline{i_1}, \underline{i_2}, \dots, \underline{i_7}\} = \{2, 3, 4, 5, 6, 7, \underline{8}\}$ and $f = 7\ 1\ 4\ 3\ 2\ 5\ 1 \in AR_8(4-6-2, 5, 8, 7-3)$.

The *minimal flipping sequence* of $f \in AR_n(\mathbf{J})$, denoted by mfs f , is defined to be the shortest sequence of coin tosses that generates f . Further, let $|f|$ be the number of tails in mfs f . For $f = 7\ 1\ 4\ 3\ 2\ 5\ 1$ in Example 2, mfs $f = \text{TTTTTHTHTHH}$ and $|f| = 8$. Theorem 1 is proved in Section 2.

THEOREM 1. *Let $l \in S_n$ be an absorption ring labeling, $\mathbf{J} = (J_1, J_2, \dots, J_k)$ a k -tuple of particles, and Pl a rule that specifies the initial placement of J_v into the cells left unoccupied by the absorptions of J_1, J_2, \dots, J_{v-1} . The probability of $f \in AR_n(\mathbf{J})$ being generated by the absorption ring process is*

$$M_n(f) = \frac{q^{|f|}}{[n][n-j_1] \cdots [n-j_1-j_2-\cdots-j_{k-1}]}.$$

The main result of this article follows as an immediate corollary: Since M_n is a measure, $\sum_{f \in AR_n(\mathbf{J})} M_n(f) = 1$. Thus, Theorem 1 implies

$$\sum_{f \in AR_n(\mathbf{J})} q^{|f|} = [n][n-j_1] \cdots [n-j_1-j_2-\cdots-j_{k-1}]. \quad (2)$$

Specializations of (2) are shown in Section 3 to agree with the multinomial theorem and with the usual expansion of the product $[n]!$.

When \mathbf{J} is an n -tuple of 1-particles, $AR_n(\mathbf{J}) = S_n$ and (2) reduces to

$$\sum_{\sigma \in S_n} q^{|\sigma|} = [n]!.$$

Thus, $||$ is Mahonian for any l and Pl . In this case, $||$ is equivalent to the generalized Mahonian statistic considered by Han [8, p. 41] and as such extends many known Mahonian statistics. In Section 4, the choices of l and Pl are given for which $||$ reduces to the inversion number, major index, r -major index, and Denert's statistic. Also presented in Section 4 is an illustration of how (2) gives "Mahonian" interpretations for "partial" q -factorials such as $[2][4] \cdots [2n]$.

In Section 5, a modification of the absorption ring process is used to obtain MacMahon's aforementioned generalization of (1) on *rearrangements*. The modification, previously discussed in less generality in [19, 21], is achieved by allowing the absorption capacity to vary by cell.

Some remarks are in order. The absorption ring process generalizes a coin-tossing game considered by Moritz and Williams [16]. The consideration of an abstract placement rule was motivated by Knuth's [11, solution to exercise 24 of 5.1.1] generalized shooting order for Russian roulette (which corresponds to Han's [9, p. 41] "future-suite"). Stripped of probabilistic considerations, the absorption ring is equivalent to the cyclic intervals employed by Han [8, 9]. The adjective absorption was coined by Johnson and Kotz [13] in connection with a related process introduced by Blomqvist [2]. Similar to the derivation of (2), variations on Blomqvist's process were exploited in [21, 22] to deduce several classical q -identities in the theory of partitions.

2. PROOF OF THEOREM 1

For $f \in AR_n(\mathbf{J})$, let $|f|_v$ be equal to the number of tails applied to J_v in mfs f . In other terms, $|f|_v$ is the minimum number of tails required in the generation of f for J_v to reach its rest position (determined by f) from its initial placement. Clearly, $|f| = |f|_1 + |f|_2 + \dots + |f|_k$.

For a given $f \in AR_n(\mathbf{J})$, suppose that J_1, J_2, \dots, J_{v-1} have been absorbed in the positions that lead to the generation of f . As the number of unoccupied cells is $(n - j_1 - j_2 - \dots - j_{v-1})$ and as a particle may sweep through any number of orbits before coming to rest, the probability of J_v being absorbed in the position required for the outcome to be f is

$$\begin{aligned} & \sum_{\mu \geq 0} q^{|f|_v + \mu(n - j_1 - j_2 - \dots - j_{v-1})} (1 - q) \\ &= q^{|f|_v} (1 - q) \sum_{\mu \geq 0} q^{\mu(n - j_1 - j_2 - \dots - j_{v-1})} \\ &= \frac{q^{|f|_v} (1 - q)}{1 - q^{n - j_1 - j_2 - \dots - j_{v-1}}} = \frac{q^{|f|_v}}{[n - j_1 - j_2 - \dots - j_{v-1}]} \end{aligned}$$

The desired result follows from the independence of Bernoulli trials:

$$M_n(f) = \frac{q^{|f|_1}}{[n]} \frac{q^{|f|_2}}{[n - j_1]} \dots \frac{q^{|f|_k}}{[n - j_1 - j_2 - \dots - j_{k-1}]}.$$

3. SOME PRODUCT EXPANSIONS

Let $\langle n \rangle_i$ denote the number of mappings $f \in AR_n(\mathbf{J})$ with $|f| = i$. Formula (2) may then be rewritten as

$$[n][n-j_1] \cdots [n-j_1-j_2-\cdots-j_{k-1}] = \sum_{i \geq 0} \langle n \rangle_i \mathbf{J} q^i. \quad (3)$$

Note that $\langle n \rangle_i \mathbf{J} = 0$ for $i > (n-1) + (n-j_1-1) + \cdots + (n-j_1-\cdots-j_{k-1}-1)$.

Three cases of (3) are considered below. The first two show that (3) agrees with the usual combinatorial expansions of $[n]^k$ and of $[n]!$. For each, Pl is taken as the rule that calls for J_v to be initially inserted in the leftmost available j_v cells.

The Multinomial Expansion of $[n]^k$. For $l=1\ 2 \dots n \in S_n$ and the k -tuple $\mathbf{J} = (\underline{1}, \underline{2}, \dots, \underline{k})$ of 0-particles, (3) reduces to

$$[n]^k = \sum_{i=0}^{k(n-1)} \langle n \rangle_i \mathbf{J} q^i. \quad (4)$$

The sum in (4) may be regrouped in more familiar terms. As 0-particles occupy no space, placement in the leftmost available cell means that each 0-particle is initially put in cell 1. Note that the minimum number of tails required in generating a function that takes on the value μ , $1 \leq \mu \leq n$, exactly m_μ times is $m_2 + 2m_3 + \cdots + (n-1)m_n$. Thus,

$$\langle n \rangle_i \mathbf{J} = \sum_{\substack{m_1+m_2+\cdots+m_n=k \\ m_2+2m_3+\cdots+(n-1)m_n=i}} \binom{k}{m_1\ m_2 \cdots m_n}.$$

Formula (4) may then be rewritten so as to reveal the multinomial expansion of $[n]^k$, namely

$$\begin{aligned} & (1+q+\cdots+q^{n-1})^k \\ &= \sum_{m_1+m_2+\cdots+m_n=k} \binom{k}{m_1\ m_2 \cdots m_n} q^{m_2+2m_3+\cdots+(n-1)m_n}. \end{aligned}$$

A Combinatorial Expansion of $[n]!$. For $l=n \cdots 2\ 1 \in S_n$ and the k -tuple $\mathbf{J} = (k, \dots, 2, 1)$ of 1-particles, (3) becomes

$$[n][n-1] \cdots [n-k+1] = \sum_{i=0}^m \langle n \rangle_i \mathbf{J} q^i \quad (5)$$

where $m = (n-1) + (n-2) + \dots + (n-k)$. Let $I_{n,i} = \#\{\sigma \in S_n : \text{inv } \sigma = i\}$. From Table I in Section 4, it follows that $I_{n,i} = \langle n \rangle_{\mathbf{J}}$ for $k=n$. Thus, (5) implies

$$[n]! = \sum_{i=0}^{n(n-1)/2} I_{n,i} q^i.$$

The above expansion for $[n]!$ and the coefficients $I_{n,i}$ have been considered in some detail (see Comtet [3, p. 236–240] and Moritz and Williams [16]).

An Expansion of a Rogers–Ramanujan-Type Product. For an absorption ring with $(5n-1)$ cells, let $l = 1\ 2 \dots (5n-1) \in S_{5n-1}$ and take $\mathbf{J} = (1-2-3, 4-5, 6-7-8, 9-10, \dots, (5n-4)-(5n-3)-(5n-2))$ to be an alternating $(2n-1)$ -tuple of particles of sizes 3 and 2. Then (3) implies that

$$[5n-1][5n-4][5n-6][5n-9] \dots [4][1] = \sum_{i \geq 0} \langle 5n-1 \rangle_{\mathbf{J}} q^i.$$

As $[m] = (1-q^m)/(1-q)$, the preceding equality may be rewritten as

$$\begin{aligned} \prod_{i=1}^n (1-q^{5i-1})(1-q^{5i-4}) &= (1-q)^{2n} \sum_{i \geq 0} \langle 5n-1 \rangle_{\mathbf{J}} q^i \\ &= \sum_{i \geq 0} \sum_{m=0}^i (-1)^m \binom{2n}{m} \langle 5n-1 \rangle_{\mathbf{J}} q^i. \end{aligned}$$

4. SOME SPECIALIZATIONS OF $||$ ON PERMUTATIONS

In the permutation case, many known Mahonian statistics coincide with specializations of $||$. Several examples are summarized in Table I. Unless otherwise stated, the ring in this section has n cells, c_v is the label of the

TABLE I

$ $	$l \in S_n$	\mathbf{J}	$Pl: J_v$ Is Inserted in the First Available Cell Encountered as the Ring Is Traversed Starting with
inv	$n \dots 2\ 1$	$(n, \dots, 2, 1)$	cell n
maj	$n \dots 2\ 1$	$(n, \dots, 2, 1)$	cell c_{v-1}
ind _r	$n \dots 2\ 1$	$(n, \dots, 2, 1)$	cell n if $c_{v-1} + r - 1 \geq n$ and cell $c_{v-1} + r - 1$ otherwise
comaj	$1\ 2 \dots n$	$(1, 2, \dots, n)$	cell c_{v-1}
den	$n \dots 2\ 1$	$(n, \dots, 2, 1)$	cell $n+1-v$

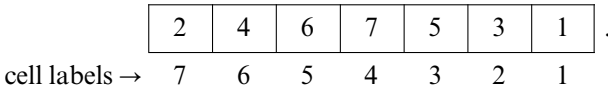
cell in which J_v is absorbed, and each placement rule calls for J_1 to be inserted in the leftmost available cell. Also, the passage of the process from the rightmost to the leftmost empty cell is referred to as an *orbit*.

The r-Major Index. For $r \geq 1$, the *r-major index* of $\sigma \in S_n$ is defined in [17] to be

$$\text{ind}_r \sigma = \#\{(i, j): 1 \leq i < j \leq n, \sigma(i) > \sigma(j) > \sigma(i) - r\} + \sum i,$$

where the sum is over the set $\{i: 1 \leq i < n, \sigma(i) \geq \sigma(i+1) + r\}$ of *r-descents* of σ . For instance, $\text{ind}_3 1726354 = 5 + (2 + 4) = 11$. On S_n , note that $\text{ind}_1 = \text{maj}$ and $\text{ind}_n = \text{inv}$.

Pick $l = n \cdots 21 \in S_n$, let $\mathbf{J} = (n, \dots, 2, 1)$, and suppose that Pl calls for $J_v = n + 1 - v$ to be placed in the first empty cell encountered as the ring is traversed from cell n if $c_{v-1} + r - 1 \geq n$ and from cell $c_{v-1} + r - 1$ otherwise. Roughly speaking, the process may fall to the left up to $r - 1$ cells each time a head occurs. The outcome for $n = 7$, $r = 3$, and the sequence TTTHTHTTHTTTHTH is



EXAMPLE 3

The mapping generated is a permutation $\sigma \in S_n = AR_n(\mathbf{J})$: $\sigma(i) = c$ where c is the cell in which i comes to rest. In Example 3, $\sigma = 1726354 \in S_7$. Note that $|\sigma| = 11 = \text{ind}_3 \sigma$.

For $\sigma \in S_n$, let $\text{rmfs } \sigma$ denote the minimal flipping sequence of σ written in reverse. The reason that $|\sigma| = \text{ind}_r \sigma$ may be seen by comparing σ with $\text{rmfs } \sigma$. Relative to Example 3, consider

$$\begin{aligned} \text{rmfs } \sigma &= \text{H H} \quad | \quad \text{T HT HT}_4 \quad | \quad \text{TT HTT HT}_6 \text{ HT}_7 \text{T}_7 \text{T}_6 \\ \sigma &= 1 \quad 7 \quad \bar{3} > \quad 2 \quad 6 \quad \bar{3} > \quad 3 \quad 5 \quad 4 \end{aligned} \tag{6}$$

where $\bar{3} >$'s highlight 3-descents in σ and each bar indicates the completion of an orbit. In general, there is a one-to-one correspondence between *r*-descents in σ and orbits generated by $\text{mfs } \sigma$. For $1 \leq j \leq n$, let

$$I_r(j) = \#\{i: i < j \leq n, \sigma(i) > \sigma(j) > \sigma(i) - r\}.$$

Note that $I_r(j)$ is equal to the number of empty cells that the process falls left when the head that generates $\sigma(j)$ is tossed. In (6), $I_3(7) = 2$ and the process falls left from cell 4 to cell 6. Also, the contribution of the two

tails subscripted by 7 in (6) made towards generating the first orbit are “negated”. For $1 \leq j \leq n$, subscript any tail by j that is negated by a fall associated with the head that generates $\sigma(j)$. The number of subscripted T’s in rmfs σ is equal to the first term in the definition of $\text{ind}_r \sigma$. Furthermore, note that the contribution to $\text{ind}_r \sigma$ made by the i th r -descent counted from right to left in σ is equal to the number of nonsubscripted tails between the $(i-1)$ st and i th bars counted from right to left in rmfs σ . It follows that $|\sigma| = \text{ind}_r \sigma$.

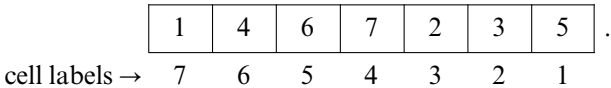
In the case $r=1$, an equivalent process was considered by Moritz and Williams [16]. The connection of their process with the Mahonian statistic known as the comajor index was made by Rawlings and Treadway [20].

Denert’s Statistic. For $\sigma \in S_n$, Denert’s statistic [4], denoted by $\text{den } \sigma$ and as defined by Foata and Zeilberger [6], is the number of ordered pairs (i, j) , $1 \leq i < j \leq n$, satisfying

- (a) $\sigma(i) \leq j$ or $\sigma(i) > \sigma(j)$ if $\sigma(j) > j$ or
- (b) $\sigma(j) < \sigma(i) \leq j$ if $\sigma(j) \leq j$.

An index j such that $\sigma(j) > j$ is known as an exceedance. The permutation $\sigma = 7\ 3\ 2\ 6\ 1\ 5\ 4 \in S_7$ has three exceedances ($j=1, 2, 4$) and $\text{den } \sigma = 11$.

The statistic $||$ is equal to den when $l = n \cdots 2\ 1 \in S_n$, $\mathbf{J} = (n, \dots, 2, 1)$, and Pl calls for $J_\nu = n + 1 - \nu$ to be placed in the first empty cell encountered in traversing the ring from cell $n + 1 - \nu$ (i.e., the ν th cell from the left). For $n=7$, the sequence TTTHTHTTTHTTTHTHHTH generates



EXAMPLE 4

The associated permutation $\sigma = 7\ 3\ 2\ 6\ 1\ 5\ 4 \in S_7$ satisfies $|\sigma| = 11 = \text{den } \sigma$.

Towards proving that $|| = \text{den}$, compare σ of Example 4 with rmfs σ :

$$\begin{array}{cccccccc}
 \text{rmfs } \sigma = & \text{H} & | & \text{HT}_2 & | & \text{HT} & \text{HT}_4 & | & \text{T}_4\text{T}_4 & \text{HTT} & \text{HT} & \text{HTTT} \\
 \sigma = \hat{7} & \hat{3} & & 2 & \hat{6} & & & & 1 & 5 & 4 &
 \end{array} \tag{7}$$

where exceedances are marked by hats and bars indicate orbits. There is a one-to-one correspondence between exceedances and orbits generated by mfs σ . For an exceedance j , subscript by j each T occurring in the string of consecutive tails in rmfs σ to the right of the head that generates $\sigma(j)$. The number of subscripted T’s in rmfs σ is equal to the number of i ’s satisfying

(a) in the definition of den. In (7), the three T_4 's coincide to the contribution made by the exceedance $j=4$ to den via part (a): $\sigma(1)=7>6=\sigma(4)$, $\sigma(2)=3\leq 4$, and $\sigma(3)=2\leq 4$. Similarly, the number of consecutive plain T 's in rmfs σ on the right of the head that generates a nonexceedance j is equal to the number of i 's satisfying (b). Thus, $|\sigma| = \text{den } \sigma$. This argument is equivalent to one used by Foata and Zeilberger [6].

Remark 1. For a ring of n cells and a fixed sequence $\mathbf{J} = (J_1, J_2, \dots, J_n)$ of 1-particles, there are n distinct placement rules: For $1 \leq v \leq n$, J_v may be inserted into any of the $n+1-v$ cells that remain empty. For a fixed \mathbf{J} and a fixed labeling $l \in S_n$, the $n!$ placement rules induce $n!$ distinct Mahonian statistics on S_n .

Remark 2. The placement rules for the inversion number, major index, and Denert's statistic possess a certain natural geometry: From Table I, the Pl 's of these three statistics respectively call for \mathbf{J}_v to be placed in the leftmost empty cell, continue from where \mathbf{J}_{v-1} stopped, and to be inserted in the first empty cell on or above the "diagonal" (v th cell from the left). Another example of a geometrically motivated Pl is the "reflection" placement rule that calls for

(a) \mathbf{J}_1 to be inserted in the leftmost cell and,

(b) if \mathbf{J}_{v-1} stops in the μ th leftmost cell, then \mathbf{J}_v is to be placed in the first empty cell encountered starting from the μ^{th} rightmost cell.

For Pl as above, $l = n \cdots 2 1 \in S_n$, and $\mathbf{J} = (n, \dots, 2, 1)$, let $\text{refl } \sigma = |\sigma|$. To illustrate, note that mfs $5 2 1 3 4 = \text{THTTHTHTHH}$. Thus, $\text{refl } 5 2 1 3 4 = 6$.

Remark 3. The absorption ring process also provides interpretations (in terms of permutations) for "partial" q -factorials such as $[2][4] \cdots [2n]$. For instance, for a ring with $2n$ cells, one possibility is to take \mathbf{J} to be the sequence $(2n-(2n-1), \dots, 4-3, 2-1)$ of n 2-particles, the cell labeling as $l = 2n \cdots 2 1 \in S_{2n}$, and Pl as the rule that calls for J_1 to be placed in the leftmost two cells and J_v to be placed in the first two empty cells encountered in traversing the ring from where J_{v-1} was absorbed. Then $AR_{2n}(\mathbf{J})$ is a subset of S_{2n} , $|\sigma| = \text{maj } \sigma$ for all $\sigma \in AR_{2n}(\mathbf{J})$, and we have

$$\sum_{\sigma \in AR_{2n}(\mathbf{J})} q^{\text{maj } \sigma} = [2][4] \cdots [2n].$$

By taking \mathbf{J} to be an alternating sequence of 2-particles and 3-particles (n of each), "Mahonian" interpretations for $[3][5][8][10] \cdots [5n-2][5n]$ may similarly be obtained on subsets of S_{5n} .

5. THE STATISTIC $||$ ON REARRANGEMENTS

Let $\mathbf{n} = (n_1, n_2, \dots, n_k)$ be a sequence of non-negative integers and put $n = n_1 + n_2 + \dots + n_k$. The set of mappings from $\{1, 2, \dots, n\}$ to $\{1, 2, \dots, k\}$ that take on the value i exactly n_i times will be denoted by $R_{\mathbf{n}}$. Often, $R_{\mathbf{n}}$ is characterized as the set of *rearrangements* of the nondecreasing sequence containing n_i i 's for $1 \leq i \leq k$. Note that $R_{\mathbf{n}} = S_n$ when $n_i = 1$ for $1 \leq i \leq k$.

The statistics maj and inv are defined on $f \in R_{\mathbf{n}}$ by

$$\text{inv } f = \# \{ (i, j) : 1 \leq i < j \leq n, f(i) > f(j) \} \quad \text{and} \quad \text{maj } f = \sum i$$

where the sum is over the *descent set* $\{i : 1 \leq i < n, f(i) > f(i+1)\}$ of f . The more general version of (1) obtained by MacMahon [14, 15] is

$$\sum_{f \in R_{\mathbf{n}}} q^{\text{inv } f} = \left[\begin{matrix} n \\ n_1 n_2 \dots n_k \end{matrix} \right] = \sum_{f \in R_{\mathbf{n}}} q^{\text{maj } f}$$

where the middle expression denotes the q -multinomial coefficient defined by

$$\left[\begin{matrix} n_1 \\ n_1 n_2 \dots n_k \end{matrix} \right] = \frac{[n]!}{[n_1]! [n_2]! \dots [n_k]!}.$$

A statistic s on $R_{\mathbf{n}}$ with distribution given by the q -multinomial coefficient is said to be *Mahonian*.

The absorption ring process may be slightly modified so that $||$ coincides with Han's [9, p.42] generalized Mahonian statistic on $R_{\mathbf{n}}$. The modification consists essentially of varying the absorption capacity by cell: In an absorption ring with k cells labeled by $l \in S_k$, cell i will be allowed to absorb n_i 1-particles. To obtain a tractable result, the particles in \mathbf{J} will be limited to size 1. Furthermore, for the process to traverse cell i , a consecutive run of tails must occur equal in length to n_i minus the number of 1-particles previously absorbed in cell i . For example, suppose there are $n = 3$ cells labeled by $l = 1 \ 2 \ 3 \in S_3$ with respective capacities $n_1 = 2 = n_2$ and $n_3 = 1$. If $\mathbf{J} = (1, 2, 3, 4, 5)$ and Pl specifies the initial placement \mathbf{J}_v to be in the leftmost available (not filled to capacity) cell, then the sequence THTTHTHTTHH generates the outcome

$$\begin{array}{rcc} \text{cell capacities} \rightarrow & 2 & 2 & 1 \\ & \boxed{1, 4} & \boxed{3, 5} & \boxed{2} \\ \text{cell labels} \rightarrow & 1 & 2 & 3 \end{array}.$$

EXAMPLE 5

The generated function is $f = 1\ 3\ 2\ 1\ 2 \in R_{(2,2,1)}$. As $\text{mfs } f = \text{HTTTHTHHH}$, $|f| = 4$. The following result holds.

THEOREM 2. *Let $l \in S_k$ be a labeling of an absorption ring with k cells and suppose that cell i has absorption capacity $n_i \geq 0$ for $1 \leq i \leq k$. Further, let $n = (n_1 + n_2 + \dots + n_k)$, $\mathbf{J} = (J_1, J_2, \dots, J_n)$ be an n -tuple of 1-particles, and Pl be a rule that specifies the initial placement of J_v into a cell not completely occupied by the absorptions of J_1, J_2, \dots, J_{v-1} . The probability of $f \in R_n$ being generated by the absorption ring process is*

$$M_n(f) = q^{|\mathbf{J}|} \left[\begin{matrix} n \\ n_1\ n_2\ \dots\ n_k \end{matrix} \right]^{-1}.$$

Proof. Suppose the generation of f requires that J_v come to rest in c_v . As in the proof of Theorem 1, let $|f|_v$ denote the minimum number of tails needed in generating f for J_v to reach c_v . As it takes n_1 tails to traverse c_1 , the probability of J_1 being absorbed in cell c_1 is

$$\sum_{\mu \geq 0} q^{|\mathbf{J}|_1 + \mu n} (1 + q + \dots + q^{n_1 - 1}) (1 - q) = \frac{q^{|\mathbf{J}|_1} [n_1]}{(1 - q^n)/(1 - q)} = \frac{q^{|\mathbf{J}|_1} [n_1]}{[n]}.$$

The result follows from the independence of Bernoulli trials and induction.

As a corollary, we have that $||$ is Mahonian for any l , Pl , and \mathbf{J} an n -tuple of 1-particles: Since $\sum_{f \in R_n} M_n(f) = 1$, Theorem 2 implies that

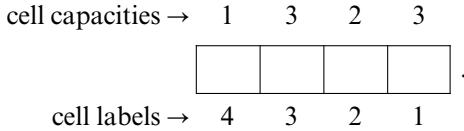
$$\sum_{f \in R_n} q^{|\mathbf{J}|} = \left[\begin{matrix} n \\ n_1\ n_2\ \dots\ n_k \end{matrix} \right].$$

The choices of l , \mathbf{J} , and Pl for which $||$ reduces to inv , maj , ind_r , and den on R_n (see [18, 9] for definitions of ind_r and den on R_n) are displayed in Table II. As before, c_v is the label of the cell in which J_v comes to rest and each Pl calls for the placement of J_1 in the leftmost cell.

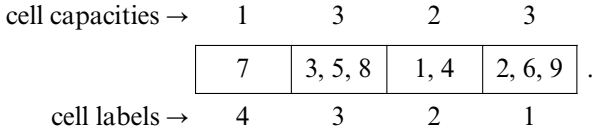
TABLE II

$ $	$l \in S_n$	\mathbf{J}	$Pl: J_v$ Is Inserted in the First Available Cell Encountered as the Ring Is Traversed Starting with
inv	$k \dots 2\ 1$	$(n, \dots, 2, 1)$	cell k
maj	$k \dots 2\ 1$	$(n, \dots, 2, 1)$	cell c_{v-1}
ind_r	$k \dots 2\ 1$	$(n, \dots, 2, 1)$	cell k if $c_{v-1} + r - 1 \geq k$ and cell $c_{v-1} + r - 1$ otherwise
den	$k \dots 2\ 1$	$(n, \dots, 2, 1)$	cell $k + 1 - \mu$ where μ satisfies $\sum_{i=0}^{\mu-2} n_{k-i} < v \leq \sum_{i=0}^{\mu-1} n_{k-i}$

As a final example, the absorption ring process with the appropriate triple (l, \mathbf{J}, Pl) from Table II is used to compute $\text{den } f$ for $f = 2\ 1\ 3\ 2\ 3\ 1\ 4\ 3\ 1 \in R_{(3, 2, 3, 1)}$. The associated ring is



As $\mathbf{J} = (9, \dots, 2, 1)$ and $0 < 1 \leq n_4 = 1$, $J_1 = 9$ is inserted in cell 4. As J_1 is absorbed in cell 1 and as $1 = n_4 < 2, 3, 4 \leq n_4 + n_3 = 4$, it follows that $J_2 = 8$, $J_3 = 7$, and $J_4 = 6$ are initially placed in cell 3. The outcome corresponding to $f = 2\ 1\ 3\ 2\ 3\ 1\ 4\ 3\ 1$ is



EXAMPLE 6

Since $\text{mfs } f = \text{TTTTTTHHTTTTTTHTTTTTHTTTTHHTHHH}$, we have that $\text{den } f = |f| = 20$.

6. CONCLUDING REMARK

For $f \in AR_n(\mathbf{J})$, let $\text{orb } f$ be the minimum number of orbits needed to generate f . In the permutation case, orb is comparable to Han's [9, p. 41] generalized exceedance number and to Knuth's [11, exercise 24 of 5.1.1] generalized descent number. The joint distribution of $(\text{orb}, |f|)$ on $AR_n(\mathbf{J})$ is considered in [1].

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